

F99 000000 2926

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: GERMANO MUNICIPALS CORP.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

ROBERT F. GERMANO  
(Name of Person)  
GERMANO MUNICIPALS CORP.  
(Firm/Company)  
5391 STEARWATER DRIVE  
(Address)  
SANIBEL, FL. 33957  
(City/State/Zip)

9 JUN - 8 AM 10:36  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS

mt  
6/8

200002841872--9  
-06/08/99--01026--001  
\*\*\*1150.00 \*\*\*1150.00

Should you need to call someone concerning this matter, please call:

200002841872--9  
-04/16/99--01045--002  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

W99-9171

ROBERT GERMANO at ( 941 ) 472-7811  
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



Pg. 700

FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

April 19, 1999

ROBERT F. GERMANO  
GERMANO MUNICIPALS CORP.  
5391 SHEARWATER DRIVE  
SANIBEL, FL 33957

SUBJECT: GERMANO MUNICIPALS CORP.  
Ref. Number: W99000009171

We have received your document for GERMANO MUNICIPALS CORP. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The entity's period of duration must be listed on the application. Please insert the word "perpetual", if a specific date of dissolution or term of existence has not been specified.

A brief description of the entity's nature of business must be included in the document.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$1150.00.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

A certificate of existence, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

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SECRETARY OF STATE  
DIVISION OF CORPORATIONS

If you have any questions concerning the filing of your document, please call  
(850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 499A00020056

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DIVISION OF CORPORATIONS  
99 JUN -9 AM 10:36

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. GERMANO MUNICIPALS CORP.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. NEW JERSEY 3. 22-2981639  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 6/5/89 5. PERPETUAL  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. 7/1/98  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 5391 SHEARWATER DRIVE  
SANIBEL, FL 33957  
(Current mailing address)
8. \_\_\_\_\_  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)  
Name: ROBERT F. GERMANO  
Office Address: 5391 SHEARWATER DR.  
SANIBEL, FL 33957, Florida, 33957  
(Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Robert F. Germano  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JUL -8 AM 10:36

Germano Municipals Corp.  
5391 Shearwater Dr.  
Sanibel, Fl. 33957

Dear Mr Mays,

Germano Municipals Corp. is an NASD registered Municipal Bond operation specializing in the coverage of Institutional customers. The Firm never has, nor does it contemplate, doing business with individual customers. In addition to doing Municipal Bonds with institutions the Firm also transacts hedge strategies for its own account using various futures and options in the debt and equity market.

If there are any questions concerning the Firm's activities please feel free to call me at 941 492 7811.

Regards,

Bob Germano, President

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

**A. DIRECTORS (Street address only - P.O. Box NOT acceptable)**

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS (Street address only - P.O. Box NOT acceptable)**

President: ROBERT GERMANO

Address: 5391 SHEARWATER DR.  
SANibel, FL 33957

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Robert F. Germano, President

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. \_\_\_\_\_

(Typed or printed name and capacity of person signing application)

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SECRETARY

FILED

STATE OF NEW JERSEY  
DEPARTMENT OF TREASURY  
SHORT FORM STANDING

GERMANO MUNICIPALS CORP.  
*With the Previous or Alternate Name*  
GERMANO SECURITIES CORP.

*I, the Treasurer of the State of New Jersey,  
do hereby certify that the above-named  
New Jersey Domestic Profit Corporation was  
registered by this office on June 5, 1989.*

*As of the date of this certificate, said business  
continues as an active business in good standing  
in the State of New Jersey, and its Annual Reports  
are current.*

*I further certify that the registered agent and  
registered office are:*

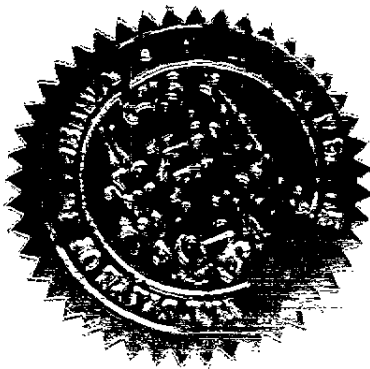
Robert Germano  
38 Seven Oaks Circle  
Holmdel, NJ 07733

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STATE OF NEW JERSEY  
DEPARTMENT OF TREASURY  
SHORT FORM STANDING

GERMANO MUNICIPALS CORP.  
With the Previous or Alternate Name  
GERMANO SECURITIES CORP.



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and  
affixed my Official Seal  
at Trenton, this  
14th day of May, 1999

*James A. DiEleuterio, Jr.*

James A DiEleuterio, Jr.  
Treasurer

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