

# F99000002820

## TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Berean Capital Incorporation  
(Name of corporation - must include suffix)

W99-11012

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

500002867765--1  
-05/07/99--01113--001  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

Carol Berberich  
(Name of Person)

Berean Capital Incorporated  
(Firm/Company)

300 S. Wacker Drive, Suite 1720  
(Address)

Chicago, IL 60606  
(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Carol Berberich at ( 312 ) 986-8200  
(Name of Person) (Area Code & Daytime Telephone Number)

### STREET ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

### MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Name
Availability
Document Examiner
Updater
Updater
Verifier
Acknowledgement
W. P. Verifier

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 MAY 28 PM 1:06



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

May 11, 1999

CAROL BERBERICH  
300 S. WACKER DRIVE, SUITE 1720  
CHICAGO, IL 60606

SUBJECT: BEREAN CAPITAL INCORPORATED  
Ref. Number: W99000011012

We have received your document for BEREAN CAPITAL INCORPORATED and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report fees due this office.)

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6967.

Michelle Hodges  
Document Specialist

Letter Number: 099A00025768

## APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

***IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.***

1. Berean Capital Incorporated  
 (Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Delaware 3. 36-3653169  
 (State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 6/8/89 5. Perpetual  
 (Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification  
 (Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. Berean Capital Incorporated, 300 S. Wacker Drive, Suite 1720  
Chicago, IL 60606  
 (Current mailing address)

8. Broker Dealer selling securities  
 (Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: Romerio Perkins

Office Address: 4000 Camelot Way  
Tallahassee, Florida, 32308  
 (Zip code)

10. Registered agent's acceptance:

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 MAY 28 PM 1:06

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

**A. DIRECTORS (Street address only - P.O. Box NOT acceptable)**

Chairman: Dudley Brown, Jr.

Address: 300 S. Wacker Drive, Suite 1720, Chicago, IL 60606

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Zirl Smith

Address: 300 S. Wacker Drive, Suite 1720, Chicago, IL 60606

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS (Street address only - P.O. Box NOT acceptable)**

President: Dudley Brown, Jr.

Address: 300 S. Wacker Drive, Suite 1720, Chicago, IL 60606

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: Zirl Smith

Address: 300 S. Wacker Drive, Suite 1720, Chicago, IL 60606

Treasurer: Carol Berberich

Address: 300 S. Wacker Drive, Suite 1720, Chicago, IL 60606

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Dudley Brown, Jr., President

(Typed or printed name and capacity of person signing application)

*State of Delaware*  
*Office of the Secretary of State* PAGE 1

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "BEREAN CAPITAL INCORPORATED" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-THIRD DAY OF APRIL, A.D. 1999.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.



A handwritten signature in cursive script, reading "Edward J. Freel", is written over a horizontal line.

Edward J. Freel, Secretary of State

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AUTHENTICATION: 9703973

DATE: 04-23-99