

F99000002585



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

February 4, 2000

BROOKSHIRE SECURITIES CORPORATION  
5950 W. OAKLAND PARK BLVD., SUITE 300  
FT. LAUDERDALE, FL 33313

SUBJECT: BROOKSHIRE SECURITIES CORPORATION  
Ref. Number: F99000002585

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-05/17/99--01109--012

\*\*\*140.00 \*\*\*\*\*70.00

To Whom It May Concern:

In a recent audit of our records we have determined that the original Application for Authorization to Transact or Conduct Business in the State of Florida for BROOKSHIRE SECURITIES CORPORATION, document number F99000002585, has been misplaced and has not been imaged for the official record.

The purpose of this letter is to ask you to furnish us with a photocopy of the application, so that we can complete our records.

Please send the copy to:

Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314  
Attn: Lyn Turley-Shoffstall

I hope this request is not too much of an inconvenience.

Should you have any questions regarding this matter, please feel free to contact me at (850) 487-6900.

Sincerely,  
Lyn Turley-Shoffstall,  
Management Review Specialist  
Bureau of Commercial Recording

Letter number: 300A00005742



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

May 19, 1999

RICHARD P. GREENE  
INTERNATIONAL BUILDING  
2455 E. SUNRISE BLVD, #905  
FT. LAUDERDALE, FL 33304

Qualification documents for BROOKSHIRE SECURITIES CORPORATION were filed on May 17, 1999 and assigned document number F99000002585. Please refer to this number whenever corresponding with this office.

Your corporation is now qualified and authorized to transact business in Florida as of the file date.

A corporation annual report will be due this office between January 1 and May 1 of the year following the calendar year of the file date. A Federal Employer Identification (FEI) number will be required before this report can be filed. If you do not already have an FEI number, please apply NOW with the Internal Revenue by calling 1-800-829-3676 and requesting form SS-4.

Please be aware if the corporate address changes, it is the responsibility of the corporation to notify this office.

Should you have any questions regarding this matter, please telephone (850) 487-6091, the Foreign Qualification/Tax Lien Section.

Lee Rivers  
Document Specialist  
Division of Corporations

Letter Number: 199A00027871

Division of Corporations - P.O. BOX 6327 -Tallahassee, Florida 32314

APPLICATION BY FOREIGN CORPORATION FOR  
AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Brookshire Securities Corporation  
(Name of corporation: the word "INCORPORATED," "COMPANY," or "CORPORATION" or words or abbreviations of like import in language, as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware  
(State or country under the law of which it is incorporated)
3. October 29, 1996 4. Perpetual  
(Date of Incorporation) (Duration)
5. 65-0704062  
(Federal Employer Identification number, if applicable)
6. Upon qualification  
(Date first transacted business in Florida. See sections 607.1501, 607.1502, and 817.155, F.S.)
7. 5950 W. Oakland Park Blvd., Suite 300, Ft. Lauderdale, FL 33313  
(Current mailing address)
8. National Association of Securities Dealers member securities firm  
(Brief description of the nature of the business in which it is engaged in the state of Florida)

9. Names and addresses of officers and or directors:

A. Directors:

Chairman: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Vice Chairman: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Director: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Director: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_

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**B. Officers:**

**President:** Timothy B. Ruggiero  
**Address:** 9540 NW 10th Street  
Plantation, FL 33322

**Vice President:** \_\_\_\_\_  
**Address:** \_\_\_\_\_

**Secretary:** Sheldon Goldberg  
**Address:** 1501 NW 108th Ave., Apt. 323  
Plantation, FL 33322

**Treasurer:** Sheldon Goldberg  
**Address:** \_\_\_\_\_

(If needed, you may attach an addendum to the application listing additional officers and/or directors.)

**10. Name and Street address of Florida registered agent:**

**Name:** Sheldon Goldberg  
**Office Address:** 5950 W. Oakland Park Blvd., Suite 300  
Ft. Lauderdale, Florida 33313  
**Zip Code**

**11. Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

**Registered agent's signature:** Sheldon Goldberg  
Sheldon Goldberg

**12.** Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

**13.** Sheldon Goldberg Sec Treas  
(Signature of Chairman, Vice Chairman, or any officer listed in number 9 of the application)

**14.** Sheldon Goldberg Secretary/Treasurer  
(Name and capacity of person signing application)