

Document Number Only

F99000002564

CT CORPORATION SYSTEM

Requestor's Name

660 East Jefferson Street

Address

Tallahassee, FL 32301

222-1092

City

State

Zip

Phone

CORPORATION(S) NAME

100002865531--3

-05/19/99--01043--001

\*\*12596.25 \*\*12596.25

100002865531--3

-05/06/99--01080--002

\*\*\*\*\*0.00 \*\*\*\*\*70.00

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

99 MAY 19 PM 12:37

FILED

Bank One Securities Corporation

☒ Profit

☐ NonProfit

☐ Limited Liability Co.

☒ Foreign

☐ Amendment

☐ Dissolution/Withdrawal

☐ Annual Report

☐ Reservation

☐ Photo Copies

☐ Call if Problem

☐ Mergers

☐ Mark

☐ Other Accounting

☐ Change of P.A.

☐ Inc. Name

☐ SOS

☐ After 4:30

☒ Pick Up

☐ Limited Partnership

☐ Reinstatement

☐ Certified Copy

☐ Call When Ready

☒ Walk In

☐ Mail Out

Name  
Availability

Document  
Examiner

Updater

Verifier

Acknowledgment

W.P. Verifier

PLEASE RETURN EXTRA COPIES  
= FILE STAMPED

TO

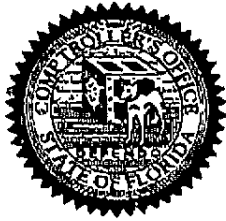
JEFFREY D. BUTTERFIELD

5/6

W99-10673

pg. 705

05/19/99



ROBERT F. MILLIGAN  
COMPTROLLER OF FLORIDA

**OFFICE OF THE COMPTROLLER**  
DEPARTMENT OF BANKING AND FINANCE  
STATE OF FLORIDA  
TALLAHASSEE  
32399-0350

March 24, 1999

Bradford M. Markham  
Secretary and Legal Counsel  
Banc One Securities Corporation  
The First National Bank of Chicago  
One First National Plaza  
Mail Suite IL1-0120  
Chicago, Illinois 60670-0120

Dear Mr. Markham:

Re: "Banc One Securities Corporation"

Thank you for your recent letter/fax requesting approval for use of the above-referenced name. It is the opinion of this Department that your name is definitive enough to differentiate the business being conducted from that of a commercial bank or trust company. Therefore, the Department does not object to your use of the above-referenced name being registered as a foreign corporation in the state of Florida.

Sincerely,

A handwritten signature in black ink, appearing to read "Art Simon", is written over the typed name.

Art Simon  
Director  
Division of Banking  
101 East Gaines Street  
Fletcher Building - Sixth Floor  
Tallahassee, FL 32399-0350  
(850) 410-9111

:kr

cc: Karon Beyer, Chief  
Bureau of Corporate Records  
Division of Corporations  
Secretary of State's Office

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SECRETARY OF STATE



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

May 6, 1999

CT CORPORATION SYSTEM  
660 E. JEFFERSON STREET  
TALLAHASSEE, FL 32301

SUBJECT: BANC ONE SECURITIES CORPORATION  
Ref. Number: W99000010673

*max-in pick-up*

We have received your document for BANC ONE SECURITIES CORPORATION and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$12596.25.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 487-6094.

Agnès Lunt  
Document Specialist

Letter Number: 799A00024858

ATTN: Agnes

*Please reach out to  
Thank you.*

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DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

61 MAY 19 14

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION  
TO TRANSACT BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:

1. Banc One Securities Corporation

(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION", or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Ohio

(State or country under the law of which it is incorporated)

3. 31-1147810

(FEI number, if applicable)

4. 9/24/85

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. 6/8/88

(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 817.156, F.S.))

7. 733 Greencrest Drive, Westerville, OH 43081

(Current mailing address)

8. To sell securities

(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent:

Name: CT Corporation System

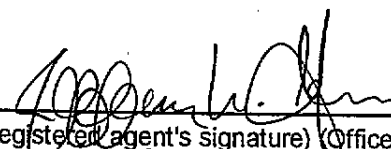
Office Address: 1200 Pine Island Road

Plantation, Florida, 33324

(Zip Code)

10. Registered agent acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligation of my position as registered agent.

  
(Registered agent's signature) (Officer)

Jeffrey R. Graves  
Assistant Secretary

(Type Name and Title of Officer)

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TALLAHASSEE, FLORIDA

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: Michael John Reed, Sr.  
Address: 733 Greencrest Drive, Suite OH1-0221  
Westerville, OH 43081

Vice Chairman: NONE  
Address: \_\_\_\_\_  
\_\_\_\_\_

Director: David Jon Kundert  
Address: 1111 Polaris Parkway, Suite B-2  
Columbus, OH 43240

Director: Michael Scott McBair  
Address: 1111 Polaris Parkway, Suite A-2  
Columbus, OH 43214

B. OFFICERS

President: Michael John Reed, Sr.  
Address: 733 Greencrest Drive, Suite OH1-0221  
Westerville, OH 43081

Vice President: VARIOUS  
Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: Bradford Michael Markham  
Address: One First National Plaza, Suite IL1-0120  
Chicago, IL 60670

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TALLAHASSEE, FLORIDA

Treasurer:/CFO: Kevin Lee Martin

Address: 733 Greencrest Drive, Suite OH1-0221  
Westerville, OH 43081

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.

Brad Markham  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14.

Bradford Michael Markham, Secretary  
(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

## LIST OF DIRECTORS

David Russell Meuse Director	150 Gay Street, 24 <sup>th</sup> Floor, Columbus, OH 43214
Brian Scott Jackman Director	733 Greencrest Drive, Suite OH1-0221, Westerville, OH 43081
Daniel Robert Deegan Director	733 Greencrest Drive, Suite OH1-0221, Westerville, OH 43081
Glen Joseph Milesko Director	111 East Wisconsin, Milwaukee, WI 53202
Michael Wayne Newbold Director	111 Monument Circle, IN1-0101, Indianapolis, IN 46204
Michael John Norton Director	733 Greencrest Drive, Suite OH1-0221, Westerville, OH 43081
Ronald Charles Baldwin Director	111 Polaris Parkway, OH1-0116, Suite A-2, Columbus, OH 43240
John Patrick Hickey, Jr. Director	111 Polaris Parkway, OH1-0116, Suite A-2, Columbus, OH 43240
Brad Christopher Iversen Director	111 Polaris Parkway, OH1-0116, Suite A-2, Columbus, OH 43240
Kevin Lee Martin Director	733 Greencrest Drive, Suite OH1-0221, Westerville, OH 43081

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TALLAHASSEE, FLORIDA

**UNITED STATES OF AMERICA,  
STATE OF OHIO,  
OFFICE OF THE SECRETARY OF STATE.**



*I, J. Kenneth Blackwell, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign corporations; that said records show BANC ONE SECURITIES CORPORATION, an Ohio corporation, Charter No. 662752, having its principal location in Columbus, County of Franklin, was incorporated on September 24, 1985 and is currently in GOOD STANDING upon the records of this office.*



*WITNESS my hand and official*

*seal at Columbus, Ohio on*

*April 15, 1999*

*J. Kenneth Blackwell*

J. Kenneth Blackwell  
Secretary of State

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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