

# F99000002415

TRANSMITTAL LETTER

TO: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Irvin L. Schwartz & Associates, Inc.

(Name of corporation - must include suffix)

800002849918--6

-05/11/99--01056--001

\*\*\*1150.00 \*\*\*1150.00

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Marie Calla

(Name of Person)

Nationwide Insurance

(Firm/Company)

One Nationwide Plaza 1-35-05

(Address)

Columbus, OH 43206

(City/State/Zip)

800002849918--6

-04/23/99--01094--002

\*\*\*\*\*78.75 \*\*\*\*\*78.75

*Please return evidence and one certified copy in enclosed  
airborne envelope.*

Should you need to call someone concerning this matter, please call:

Marie Calla

(Name of Person)

614

at ( 677-4210 )

(Area Code & Daytime Telephone Number)

**COURIER ADDRESS:**

Qualification/Tax Lien Sec.  
Division of Corporations  
409 E. Gaines St  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

99 MAY 11 PM 1:07

FILED

W 5/11



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

April 26, 1999

MARIE CALLA  
NATIONWIDE INSURANCE  
ONE NATIONWIDE PLAZA 1-35-05  
COLUMBUS, OH 43206

SUBJECT: IRVIN L. SCHWARTZ & ASSOCIATES, INC.  
Ref. Number: W99000009719

We have received your document for IRVIN L. SCHWARTZ & ASSOCIATES, INC. and your check(s) totaling \$78.75. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$1150.00. *enclosed*.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 487-6092.

Hart Collins  
Senior Corporate Section Administrator

Letter Number: 999A00021851

*Thanks!*  
*Marie Calla*  
*1-614-677-4210*

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION  
TO TRANSACT BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:*

1. Irvin L. Schwartz and Associates, Inc.  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Ohio  
(State or country under the law of which it is incorporated)
3. 31-1238190  
(FBI number, if applicable)
4. 4/2/88  
(Date of Incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. July 1, 1998  
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))
7. 9402 Towne Square Ave  
Cincinnati, OH 45242  
(Current mailing address)
8. Sales of deferred compensation plans  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box acceptable)  
  
Name: C T Corporation System  
  
Office Address: 1200 South Pine Island Road  
Plantation  
Florida 33324  
(Zip Code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Ruth A. Lawrence - Special Asst. Secretary  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY**- P. O. Box **NOT** acceptable)

**A. DIRECTORS (Street address only- P. O. Box NOT acceptable)**

Chairman: Michael C. Butler

Address: Three Nationwide Plaza, Columbus, Ohio 43206

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: John S. Bath

Address: One Nationwide Plaza, Columbus, Ohio 43206

Director: Steven J. Rose

Address: One Nationwide Plaza, Columbus OH 43206

**B. OFFICERS (Street address only- P. O. Box NOT acceptable)**

President: Barry A. Schwartz

Address: 1755 Fairhaven Place

Coconut Grove, Florida 33133

Vice President: John S. Bath, Michael C. Butler and Steven J. Rose

Address: One Nationwide Plaza,

Columbus, OH 43206

Secretary: John F. Delaloye

Address: One Nationwide Plaza

Columbus, OH 43206

Treasurer: Duane M. Campell, Christopher W. Lane (Assistant Treasurer)

Address: One Nationwide Plaza

Columbus, OH 43206

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. John F. Delaloye, Secretary

(Typed or printed name and capacity of person signing application)

FILED  
99 MAY 11 PM 1:07  
SECRETARY OF STATE  
TALLAHASSEE FLORIDA

**UNITED STATES OF AMERICA,  
STATE OF OHIO,  
OFFICE OF THE SECRETARY OF STATE.**

}

*I, J. Kenneth Blackwell, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign corporations; that said records show IRVIN L. SCHWARTZ AND ASSOCIATES, INC., an Ohio corporation, Charter No. 723396, having its principal location in Cincinnati, County of Hamilton, was incorporated on April 22nd, 1988 and is currently in GOOD STANDING upon the records of this office.*

**FILED**  
99 MAY 11 PM 1:07  
SECRETARY OF STATE  
TALLAHASSEE FLORIDA



*WITNESS my hand and official*

*seal at Columbus, Ohio on*

*April 21, 1999*

*J. Kenneth Blackwell*

J. Kenneth Blackwell  
Secretary of State