

F99000002132

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Harken, Incorporated  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",  
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation to  
transact business in Florida.

Please return all correspondence concerning this matter to the following:

800002820048--5  
-03/26/99-01079--002  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

Robert Sweet  
(Name of Person)

Harken, Inc.  
(Firm/Company)

1251 E. Wisconsin Ave.  
(Address)

Pewaukee, WI 53072  
(City/State/Zip)

W99-7329  
p: 6917

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 APR 26 PM 12:46  
WLC 4/26

Should you need to call someone concerning this matter, please call:

Robert Sweet at ( 414 ) 691-3320  
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

7p92



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

March 26, 1999

ROBERT SWEET  
HARKEN, INCORPORATED  
1251 E. WISCONSIN AVE.  
PEWAUKEE, WI 53072

SUBJECT: HARKEN, INCORPORATED  
Ref. Number: W99000007329

We have received your document for HARKEN, INCORPORATED and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$1,150.00.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 487-6958.

Lee Rivers  
Document Specialist

Letter Number: 999A00015385



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

April 12, 1999

ROBERT SWEET  
HARKEN, INCORPORATED  
1251 E. WISCONSIN AVE.  
PEWAUKEE, WI 53072

SUBJECT: HARKEN, INCORPORATED  
Ref. Number: W99000007329

We have received your document for HARKEN, INCORPORATED and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Thank you for your letter, which we are returning with this letter. As noted in our previous letter, a highlighted copy of which is attached, the document you submit must be a "sworn affidavit." Your letter must be notarized before it can be considered an affidavit. Once we receive such an affidavit we will immediately file your application without any penalty fees.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6958.

Lee Rivers  
Document Specialist

Letter Number: 299A00018323



1251 East Wisconsin Avenue  
Pewaukee, Wisconsin USA 53072-3797  
Tel: 414-691-3320  
Fax: 414-691-3008  
E-mail: harken@harken.com  
Web: http://www.harken.com

April 8, 1999

Lee Rivers  
Document Specialist  
Florida Department of State  
Division of Corporations  
PO Box 5327  
Tallahassee FL 32314

Re: Harken Incorporated  
Letter No. 999A00015385

Dear Mr/Ms Rivers:

We are in receipt of your letter dated March 26, 1999 regarding our application for authorization to transact business in Florida.

Florida Statutes Section 607.1501, subsection 1 part f states "soliciting or obtaining orders..through employees..if the orders require acceptance outside this state before they become contracts" does not constitute transacting business in the state of Florida.

Our sales office in Florida was set up obtain new orders which ultimately require the approval of our headquarters in Wisconsin to become valid contracts.

We were advised that because we had an employee in Florida, we needed to register in order to transact business. We still wish to register in Florida, but as our activity does not constitute transaction of business by Florida Statues, we respectfully ask that any civil penalties be waived and our document for Harken Incorporated be filed.

If you require further information, please contact me.

Harken Incorporated, by:

Robert Sweet  
Corporate Controller

RJS/jam

Sworn before me this 8th day  
of April, 1999.

Notary Public  
Waukesha County, Wisconsin  
My commission expires 9-29-2002

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SECRETARY OF STATE  
DIVISION OF REGISTRATION  
99 APR 26 PM 12:46

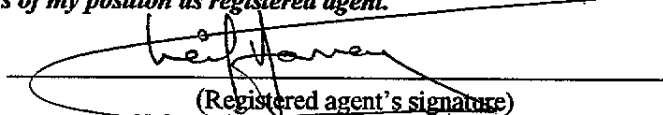
**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Harken, Incorporated  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Wisconsin 3. 39-1086764  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. February 1, 1967 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. July 1, 1998  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 1251 E. Wisconsin Ave.  
Pewaukee, WI 53072  
(Current mailing address)
8. Sailboat Hardware - Sales Office  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)
- Name: Neil Harvey
- Office Address: 11 Evonaire Circle  
Belleair, Florida, 33756  
(Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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DIVISION OF CORPORATIONS  
99 APR 26 PM 12:06

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

**A. DIRECTORS** (Street address only - P.O. Box **NOT** acceptable)

Chairman: Peter O. Harken

Address: W278 N2931 Rocky Point  
Pewaukee, WI 53072

Vice Chairman: Olaf T. Harken

Address: W283 N2251 Beach Park  
Pewaukee, WI 53072

Director: Arthur B. Mitchel

Address: W291 N2210 Elmhurst  
Pewaukee, WI 53072

Director: Russell Pyle

Address: 221 N. LaSalle Street, Suite 850  
Chicago, IL 60601

**B. OFFICERS** (Street address only - P.O. Box **NOT** acceptable)

President: Peter O. Harken

Address: W278 N2931 Rocky Point  
Pewaukee, WI 53072

Vice President: Olaf T. Harken

Address: W283 N2251 Beach Park  
Pewaukee, WI 53072

Secretary: Rose Sorensen

Address: W267 N2926 Peterson Drive  
Pewaukee, WI 53072

Treasurer: Arthur B. Mitchel

Address: W291 N2210 Elmhurst  
Pewaukee, WI 53072

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**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Arthur B. Mitchel, Treasurer  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Arthur B. Mitchel, Treasurer  
(Typed or printed name and capacity of person signing application)

DOM  
180 181 185

United States of America  
State of Wisconsin



DEPARTMENT OF FINANCIAL INSTITUTIONS

To All to Whom These Presents Shall Come, Greeting:

I, RICHARD L. DEAN, Secretary, Department of Financial Institutions, do hereby certify that

HARKEN, INCORPORATED

is a domestic corporation organized under the laws of this state and that its date of incorporation is  
FEBRUARY 1, 1967.

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I further certify that corporation has, within its most recently completed report year, filed an annual report required under ss. 180.1622, 180.1921 or 181.1622, Wis. Stats., and that it has not filed articles of dissolution.

IN TESTIMONY WHEREOF, I have  
hereunto set my hand and affixed the official seal  
of the Department on March 2, 1999.

RICHARD L. DEAN, Secretary  
Department of Financial Institutions



BY: Patricia Weber

Effective July 1, 1996, the Department of Financial Institutions assumed the functions previously performed by the Corporations Division of the Secretary of State and is the successor custodian of corporate records formerly held by the Secretary of State.