2007 FOR PROFIT CORPORATION

ANNUAL REPORT

Secretary of State 04-30-2007 90832 015 ***150.00 DOCUMENT # F99000001749 CLARK SECURITIES, INC. 4000-Principal Place of Business Mailing Address 633 W. 5TH STREET 102 S WYNSTONE PARK DR 52ND FLOOR BARRINGTON, IL 60010 LOS ANGELES, CA 90071 2. Principal Place of Business - No P.O. Box # 3. Mailing Address 2100 Ross Aue, Suite, Apt. #, etc. Suite, Apt. #, etc. 04242007 Chg-P CR2E034 (12/06) <u> 501TE</u> 2200 City & State City & State 4. FEI Number Applied For DAILAS 95-4295824 Not Applicable Zip Zip Country \$8.75 Additional 5. Certificate of Status Desired 15201 USA Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent Name C T CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324 City Zip Code FI 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent. SIGNATURE. Signature, typed or printed name of registered agent and tide if applicable. DATE (NOTE: Registered Agent signature required when reinstating) 9. Election Campaign Financing \$5.00 May Be FILE NOW!!! FEE IS \$150.00 After May 1, 2007 Fee will be \$550.00 Trust Fund Contribution. Added to Fees ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 OFFICERS AND DIRECTORS 10. 11. ☐ Addition □ Delete Change Change TITLE TITLE BALLOU, KÉVIN NAME NAME TOZ RUSSELL ANE., STE 410 633 WEST 5TH ST 52ND FLOOR STREET ADDRESS STREET ADDRESS Gaithershura, MD 20877 CITY-ST-ZIP LOS ANGELES, CA 90071 CITY-ST-ZIP ☐ Detete TITLE ☐ Change ☐ Addition TITLE IMES, BRENDA D NAME STREET ADDRESS STREET ADDRESS 633 W. 5TH ST. 52ND FLOOR CITY-ST-ZIP LOS ANGELES, CA 90071 CITY-ST-ZIP D Delete TITLE ☐ Change ☐ Addition TITLE PYRA TOM NAME NAME STREET ADDRESS STREET ADDRESS 633 WEST FIFITH ST 52ND FLOOR CITY-ST-ZIP LOS ANGELES, CA 90071 CITY-\$1-ZIP Delete TITLE ☐ Change ☐ Addition TITLE LEMAJEUR, JEFFREY W NAME NAME STREET ADDRESS STREET ADDRESS 102 W WYNSTONE PARK DR NORTH BARRINGTON, IL 60010 CITY-ST-ZIP CITY-ST-ZIP Addition TITLE Change TITLE Delete BROCKHURST, LESLIE NAME NAME STREET ADDRESS 633 W 5TH 52ND FL STREET ADDRESS

12. I hereby certify that the information supplied with this filling does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

CITY-ST-ZIP

STREET ADDRESS CITY-ST-7IP

TITLE

☐ Delete

SIGNATURE: CARLOS HUERTA

LOS ANGELES, CA 90071

CITY-ST-ZP

STREET ADDRESS

CITY-ST-ZIP

TITLE

NAME

SEE ATTACHED

FILED

Apr 30, 2007 8:00 am

∑Addition

☐ Change

ATTACHMENT 40092762 79000001749 CLARK SECURITIES, INC. 95-4295824

OFFICERS

Name:

Kevin Ballou

Title:

President

Business Addr:

702 Russell Avenue, Suite 410

Gaithersburg, MD 20877

Name:

Brenda Imes

Title: Business Addr: Exec. Vice President - Operations 633 W. 5th St., 52nd Floor

Los Angeles, CA 90071

Name: Title:

Bob Thurber

V.P. - Chief Compliance Officer

Business Addr:

3600 American Blvd. West, Suite 200

Minneapolis, MN 55431

Name:

Carlos Huerta

Title: Business Addr:

V.P. - Chief Financial Officer 2100 Ross Ave., Suite 2200

Dallas, TX 75201

Name: Title:

Becky Letsche

Business Addr:

Vice President - Controller 2100 Ross Ave., Suite 2200

Dallas, TX 75201

Name: Title:

Edward J. Bove

Secretary Business Addr:

702 Russell Avenue, Suite 410

Gaithersburg, MD 20877

DIRECTORS

Name: Title:

Kurt Laning Director

Business Addr:

333 West Wacker Drive, Suite 810

Chicago, IL 60606

Name: Title:

Business Addr:

Kevin Ballou

Director

702 Russell Avenue, Suite 410

Gaithersburg, MD 20877

Name:

James R. Trefz

Title:

Director

Business Addr: 4333 Edgewood Road NE

Cedar Rapids, IA 52499