

# 2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F99000001184

FILED  
Jan 29, 2009  
Secretary of State

Entity Name: STERNE AGEE ASSET MANAGEMENT, INC.

## Current Principal Place of Business:

800 SHADES CREEK PARKWAY  
STE 700  
BIRMINGHAM, AL 35209

## New Principal Place of Business:

## Current Mailing Address:

800 SHADES CREEK PARKWAY  
STE 550  
BIRMINGHAM, AL 35209

## New Mailing Address:

FEI Number: 63-0978869

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: P ( ) Delete  
Name: HARRIS, THOMAS J  
Address: 800 SHADES CREEK PKWY., STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

Title: S ( ) Delete  
Name: WOODHAM, F. EUGENE  
Address: 800 SHADES CREEK PKWY., STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

Title: SVP ( ) Delete  
Name: CHERYL, WITT  
Address: 800 SHADES CREEK PKWY STE 550  
City-St-Zip: BIRMINGHAM, AL 35209

Title: D ( ) Delete  
Name: SETON, JERRY K  
Address: 800 SHADES CREEK PKWY STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

Title: ( ) Delete  
Name:  
Address:  
City-St-Zip:

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: PRES (X) Change ( ) Addition  
Name: RUCH, WALTER III  
Address: 800 SHADES CREEK PKWY., STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

Title: SEC (X) Change ( ) Addition  
Name: WOODHAM, F. EUGENE  
Address: 800 SHADES CREEK PKWY., STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: DIR (X) Change ( ) Addition  
Name: SETON, JERRY K  
Address: 800 SHADES CREEK PKWY STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

Title: DIR ( ) Change (X) Addition  
Name: HARRIS, JERRY  
Address: 800 SHADES CREEK PKWY STE 700  
City-St-Zip: BIRMINGHAM, AL 35209

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: CHERYL WITT

SVP

01/29/2009

Electronic Signature of Signing Officer or Director

Date