

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # F99000001184

1. Entity Name

STERNE AGEE ASSET MANAGEMENT, INC.

FILED
May 15, 2001 8:00 am
Secretary of State

05-15-2001 90157 035 ***150.00

0565013

Principal Place of Business

800 SHADES CREEK PARKWAY
STE 700
BIRMINGHAM AL 35209

Mailing Address

800 SHADES CREEK PARKWAY
STE 700
BIRMINGHAM AL 35209

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number 63-0978869

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

HARRIS, ROBERT M III
1800 2ND ST., STE. 975
SARASOTA FL 34236

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE P
NAME HOLBROOK, JAMES S JR.
STREET ADDRESS 1901 6TH AVE. NORTH, STE. 2100
CITY-ST-ZIP BIRMINGHAM AL 35203 ☐ Delete

TITLE ☒ Change ☐ Addition
NAME
STREET ADDRESS 800 Shades Creek Parkway Ste 700
CITY-ST-ZIP Birmingham, AL 35209

TITLE S
NAME WOODHAM, F. EUGENE
STREET ADDRESS 1901 6TH AVE. NORTH, STE. 2100
CITY-ST-ZIP BIRMINGHAM AL 35203 ☐ Delete

TITLE ☒ Change ☐ Addition
NAME
STREET ADDRESS 800 Shades Creek Parkway Ste 700
CITY-ST-ZIP Birmingham, AL 35209

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

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TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: F. Eugene Woodham F. Eugene Woodham 4/30/01 (205) 949-3547
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (10/00)

765717



DO NOT WRITE IN THIS SPACE