

2009 FOR PROFIT CORPORATION REINSTATEMENT

DOCUMENT# F99000001118

FILED
Oct 29, 2009
Secretary of State

Entity Name: BROADPOINT GLEACHER SECURITIES GROUP, INC.

Current Principal Place of Business:

ONE PENN PLAZA
42ND FLOOR
NEW YORK, NY 10119

New Principal Place of Business:

12 EAST 49TH STREET, 31ST FLOOR
NEW YORK, NY 10017

Current Mailing Address:

677 BROADWAY
ALBANY, NY 122072990

New Mailing Address:

FEI Number: 22-2655804 **FEI Number Applied For ()** **FEI Number Not Applicable ()** **Certificate of Status Desired (X)**

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: FLORENCE MERCERON

Electronic Signature of Registered Agent

Date

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: CCEO () Delete
Name: FENSTERSTOCK, LEE
Address: ONE PENN PLAZA
City-St-Zip: NEW YORK, NY 10119

Title: PD () Delete
Name: MCNIERNEY, PETER
Address: ONE PENN PLAZA
City-St-Zip: NEW YORK, NY 10119

Title: CD () Delete
Name: MCNAMEE, GEORGE C
Address: ONE PENN PLAZA
City-St-Zip: NEW YORK, NY 10119

Title: D () Delete
Name: KUTNICK, DALE
Address: ONE PENN PLAZA
City-St-Zip: NEW YORK, NY 10119

Title: D () Delete
Name: NESMITH, WADE
Address: ONE PENN PLAZA
City-St-Zip: NEW YORK, NY 10119

Title: D () Delete
Name: YINGLING, BOB
Address: ONE PENN PLAZA
City-St-Zip: NEW YORK, NY 10119

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: CCEO (X) Change () Addition
Name: FENSTERSTOCK, LEE
Address: 12 EAST 49TH STREET, 31ST FLOOR
City-St-Zip: NEW YORK, NY 10017

Title: PD (X) Change () Addition
Name: MCNIERNEY, PETER
Address: 12 EAST 49TH STREET, 31ST FLOOR
City-St-Zip: NEW YORK, NY 10017

Title: CHAI (X) Change () Addition
Name: GLEACHER, ERIC
Address: 12 EAST 49TH STREET, 31ST FLOOR
City-St-Zip: NEW YORK, NY 10017

Title: CFO (X) Change () Addition
Name: TURNER, ROBERT
Address: 12 EAST 49TH STREET, 31ST FLOOR
City-St-Zip: NEW YORK, NY 10017

Title: GCS (X) Change () Addition
Name: ARCIERO-CRAIG, PATRICIA
Address: 12 EAST 49TH STREET, 31ST FLOOR
City-St-Zip: NEW YORK, NY 10017

Title: D (X) Change () Addition
Name: YINGLING, BOB
Address: 12 EAST 49TH STREET, 31ST FLOOR
City-St-Zip: NEW YORK, NY 10017

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: ROBERT TURNER

CFO

10/29/2009

Electronic Signature of Signing Officer or Director

Date