


2008 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Sep 10, 2008 8:00 am
Secretary of State

09-10-2008 90001 032 ***550.00

DOCUMENT # F99000001118		
1. Entity Name BROADPOINT SECURITIES GROUP, INC.		

Principal Place of Business 677 BROADWAY ALBANY, NY 12207-2990	Mailing Address 677 BROADWAY ALBANY, NY 12207-2990
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40115519



09042008 Chg-P CR2E034 (12/06)

2. Principal Place of Business - No P.O. Box # ONE PENN PLAZA		3. Mailing Address	
Suite, Apt. #, etc. 42ND FLOOR		Suite, Apt. #, etc.	
City & State NEW YORK, N.Y.		City & State	
Zip 10119	Country U.S.A.	Zip	Country

4. FEI Number 22-2655804	Applied For <input type="checkbox"/> Not Applicable
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5. Certificate of Status Desired <input type="checkbox"/>	\$8.75 Additional Fee Required
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6. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324		7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code	
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8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

FILE NOW!!! FEE IS \$550.00
Due by September 12, 2008

9. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS		11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VCD GOLDBERG, ALAN P 677 BROADWAY ALBANY, NY 12207 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	CHAIRMAN & CEO FENSTERSTOCK, LEE ONE PENN PLAZA NEW YORK, N.Y. 10119 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D CARLUCCI, CARL P 15505 FENTRESS COURT TAMPA, FL 336205950 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD MCNIERNEY, PETER ONE PENN PLAZA NEW YORK, N.Y. 10119 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CD MCNAMEE, GEORGE C 677 BROADWAY ONE PENN PLAZA ALBANY, NY 12207 NEW YORK, N.Y. 10119 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	D KUTNICK, DALE ONE PENN PLAZA NEW YORK, N.Y. 10119 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D O'BRIEN, SHANNON P 6 DANECRA DRIVE WHITMAN, MA 02382 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	D NESMITH, WADE ONE PENN PLAZA NEW YORK, N.Y. 10119 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D GRAVANTE, JR., NICHOLAS A 570 LEXINGTON AVE. NEW YORK, NY 10022 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	D YINGLING, BOB ONE PENN PLAZA NEW YORK, N.Y. 10119 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFO COAD, BRIAN C 677 BROADWAY ALBANY, NY 12207 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	D PECHOCK, CHRISTOPHER ONE PENN PLAZA NEW YORK, N.Y. 10119 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Robert J. Thorne Robert J. Thorne 9/4/08 212 273-7109
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

ATTACHMENT

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Document # F99000001118

11. Additions / Changes to Officers and Directors....continued

D	Addition
Plimpton, Frank	
One Penn Plaza	
New York, N.Y. 10119	

D	Addition
Patterson, Mark	
One Penn Plaza	
New York, N.Y. 10119	

4015519
#F99000001118

SECTION I
(1-3 MUST BE COMPLETED)

(Document number of corporation (if known))

(Name of corporation as it appears on the records of the Department of State)

(Incorporated under laws of)

(Date authorized to do business in Florida)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? December 28, 2007

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Robert Turner

(Typed or printed name of person signing)

Chief Financial Officer

(Title of person signing)