

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Feb 26, 2004 8:00 am
Secretary of State

02-26-2004 90010 023 ***150.00

DOCUMENT # F99000001118

1. Entity Name
FIRST ALBANY COMPANIES INC.



Principal Place of Business
**30 SOUTH PEARL STREET
ALBANY, NY 12201-0052**

Mailing Address
**30 SOUTH PEARL STREET
ALBANY, NY 12201-0052**

54012228



02102004 Chg-P CR2E034 (10/03)

4. FEI Number
22-2655804

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	☐ Delete
P	GOLDBERG, ALAN P	30 SOUTH PEARL STREET	ALBANY, NY	
V	JENKINS, STEVEN R	30 SO. PEARL ST	ALBANY, NY 12207	
CD	MCNAMEE, GEORGE C	30 SOUTH PEARL STREET	ALBANY, NY	
D	O'BRIEN, SHANNON P	6 DONECCA DRIVE	WHITMAN, MA 02382	
D	GRAVANTE, JR., NICHOLAS A	570 LEXINGTON AVE.	NEW YORK, NY 10022	
D	FIEDEROWICZ, WALTER M	39 PAINTER HILL RD	WOODBURY, CT	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	☐ Change ☒ Addition
D	Johnson, Jr., Hugh Albert	30 S. Pearl Street	Albany, NY 12201	
D	Wink, Stephen P.	30 South Pearl Street	Albany, NY 12207	
D	Dale Kutnick	23 Sherman Turnpike	Redding, CT 06875	
D	Campbell, Robert F.	30 South Pearl Street	Albany, NY 12207	
D	Murphy Jr., Arthur T.	ONE Penn Plaza	New York, NY 10119	
D	Carlucci, Carl P., Ph.D.	15505 Fentress Court	Tampa, FL 33620	

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

Alan Goldberg

2/26/04

518 447 5500

Attachment

540/2228

#F99000001118

**OFFICERS & DIRECTORS
OF FIRST ALBANY COMPANIES INC**

<u>Name & Position</u>	<u>Business Address</u>	<u>Home Address</u>
Walter M. Fiederowicz Director		102 North Street P.O. Box 939 Litchfield, CT 06759
Alan Paul Goldberg President, Director	30 S Pearl Street Albany, NY 12201-0050	40 Hills Road Loudonville, NY 12211
Hugh Albert Johnson, Jr. Senior VP, Director	30 S Pearl Street Albany, NY 12201-0050	9 Birchwood CT. Albany, NY 12211
George Cooley McNamee Chairman, Director	30 S Pearl Street Albany, NY 12201-0050	9 Loudon Heights South Loudonville, NY 12211
Shannon P. O'Brien Director		6 Danecca Drive Whitman, MA 02382
Steven R. Jenkins Director & CFO	30 S Pearl Street Albany, NY 12201-0050	20 Hampshire Place Delmar, NY 12054
Stephen P. Wink General Counsel, Secretary & Director	30 S Pearl Street Albany, NY 12201-0050	167 Woodlawn Avenue Saratoga Springs, NY 12866
Nicholas A. Gravante, Jr. Director	Boies Schiller & Flexner 570 Lexington Ave. 16 th Fl. New York, NY 10022	
Dale Kutnick Director		23 Sherman Turnpike Redding, CT 06875
Carl P. Carlucci, Ph. D. Director		15505 Fentress Court Tampa, FL 33620-5950
Robert F. Campbell Director	30 S Pearl Street Albany, NY 12201-0050	113 Newell Court Menands, NY 12204

attachment

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Arthur T. Murphy, Jr.
Director

One Penn Plaza
New York, NY 10119-4000

42 Prescott Ave.
Bronxville, NY 10708

Arthur J. Roth
Director

10 West Cobble Hill
Loudonville, NY 12211

—Last updated: December 30, 2003, per Legal—