

F99000000687

Document Number Only

C T Corporation System

Requestor's Name

660 East Jefferson Street

Address

Tallahassee, FL 32301

City

State

Zip

Phone

CORPORATION(S) NAME

000002728020--5

-02/05/98-01025--001

\*\*\*3515.00 \*\*\*3515.00

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-12/31/98-01056--003

\*\*\*\*\*70.00 \*\*\*\*\*70.00

W98-29338

Triumph-California Advisors, Inc

- 99 FEB -5 AM 8:31
- FILED  
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☐ NonProfit  
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☒ Foreign  
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FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham  
Secretary of State

December 31, 1998

C T CORP

SUBJECT: TRIUMPH-CALIFORNIA ADVISORS, INC.  
Ref. Number: W98000029338

We have received your document for TRIUMPH-CALIFORNIA ADVISORS, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The entity's date of incorporation/organization must be listed in the document.

Based upon information provided by the Florida Department of Revenue, pursuant to section 213.053(14), Florida Statutes, it appears that TRIUMPH-CALIFORNIA ADVISORS, INC. has transacted business in Florida prior to submitting an "Application for Authority to Transact Business in Florida". Please contact this office concerning the date first transacted business in Florida.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$3515.00.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 098A00061218

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Triumph-California Advisors, Inc.  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION", or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware  
(State or country under the law of which it is incorporated)
3. 95-4532481  
(FEI number, if applicable)
4. May 5, 1995  
(Date of incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. Upon filing of application.  
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, and 817.155, F.S.))
7. 222 Lakeview Ave., Ste. 160-268  
West Palm Beach, FL 33401-6145  
(Current mailing address)
8. To act as the General Partner of Triumph-California Advisors, L.P., a Delaware limited partnership to conduct business in Florida.  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)  
Name: C T CORPORATION SYSTEM  
Office Address: 1200 South Pine Island Road  
Plantation, Florida, 33324  
(Zip code)

**10. Registered agent acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligation of my position as registered agent.*

C T CORPORATION SYSTEM

(Registered agent's signature)

*D.I. Farber*

D.I. Farber

Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: Frederick W. McCarthy

Address: 722 Lakeview Ave., Ste. 160-268, West Palm Beach, FL 33401

Vice Chairman: N/A

Address:

Director: Frederick S. Moseley IV

Address: 28 State Street, 37th Floor, Boston, MA 02109

Director: Robert M. Trevisani

Address: 28 State Street, 37th Floor, Boston, MA 02109

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: PLEASE SEE ATTACHED PAGE.

Address:

Vice President:

Address:

Secretary:

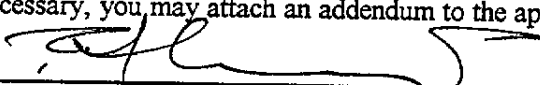
Address:

Treasurer:

Address:

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Frederick S. Moseley IV, President  
(Typed or printed name and capacity of person signing application)

*Application by Foreign Corporation for Authorization  
Transact Business in Florida  
Addendum to Application*

Applicant: Triumph-California Advisors, Inc.

12. Names and addresses of officers and/or directors.

**B. OFFICERS**

<u>Name</u>	<u>Office</u>	<u>Address</u>
Frederick W. McCarthy	CEO & Chairman	222 Lakeview Ave., Ste. 160-268 West Palm Beach, FL 33401
Frederick S. Moseley IV	President	28 State Street, 37th Floor Boston, MA 02109
Richard F. McLaughlin III	Managing Director	28 State Street, 37th Floor Boston, MA 02109
Robert M. Trevisani	CFO & Secretary	28 State Street, 37th Floor Boston, MA 02109
Charles B. Spadoni	Vice-President & General Counsel	28 State Street, 37th Floor Boston, MA 02109

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State of Delaware  
Office of the Secretary of State

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "TRIUMPH-CALIFORNIA ADVISORS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTY-FIRST DAY OF DECEMBER, A.D. 1998.

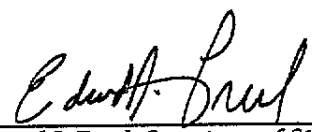
AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



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Edward J. Freel, Secretary of State

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AUTHENTICATION: 9498728

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DATE: 12-31-98