

# F990000000187

## TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Stephen Dunn & Associates, Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",  
"Certificate of Existence", and check are submitted to register the  
transact business in Florida.

200002472752--9

-03/31/98--01013--002

Please return all correspondence concerning this matter to the fol

\*\*\*\*\*70.00 \*\*\*\*\*70.00

Rachel Sexton

(Name of Person)

Stephen Dunn & Associates, Inc.

(Firm/Company)

1728 Abbot Kinney Boulevard

(Address)

Venice, CA 90291

(City/State/Zip)

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589  
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Should you need to call someone concerning this matter, please call:

200002472752--9

-01/12/99--01059--001

\*\*\*4946.25 \*\*\*4946.25

Rachel Sexton

(Name of Person)

at (310) 301-1999

(Area Code & Daytime Telephone Number)

### COURIER ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

### MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JAN 12 PM 1:09

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FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State

March 31, 1998

RACHEL SEXTON  
STEPHEN DUNN & ASSOCIATES, INC.  
1728 ABBOT KINNEY BLVD.  
VENICE, CA 90291

SUBJECT: STEPHEN DUNN & ASSOCIATES, INC.  
Ref. Number: W98000007075

We have received your document for STEPHEN DUNN & ASSOCIATES, INC. and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Based upon information provided by the Florida Department of Revenue, pursuant to section 213.053(14), Florida Statutes, it appears that STEPHEN DUNN & ASSOCIATES, INC. has transacted business in Florida prior to submitting an "Application for Authority to Transact Business in Florida". The information received from the Florida Department of Revenue indicates October 12, 1983, as the initial date of transacting business in the State of Florida. Please contact this office concerning the date first transacted business in Florida.

If it is determined that the corporation's previous activities in Florida that warranted compliance with Florida's revenue laws did not constitute the transaction of business within the meaning of s. 607.1501, F.S., please provide a sworn affidavit to that effect.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$16546.25.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

If you have any questions concerning the filing of your document, please call  
(850) 487-6093.

Freta Lott  
Corporate Specialist Supervisor

Letter Number: 898A00017020



FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham  
Secretary of State

June 11, 1998

RACHEL SEXTON  
STEPHEN DUNN & ASSOCIATES, INC.  
1728 ABBOT KINNEY BLVD.  
VENICE, CA 90291

SUBJECT: STEPHEN DUNN & ASSOCIATES, INC.  
Ref. Number: W98000007075

This letter is in response to the application by foreign corporation for authorization to transact business in Florida that was previously submitted to this office for STEPHEN DUNN & ASSOCIATES, INC..

This office received information provided by the Florida Department of Revenue, pursuant to section 213.053(14), Florida Statutes, which indicates that the corporation has transacted business in the State of Florida since October 12, 1983. You were notified by letter dated March 31, 1998, that because of failure to obtain a certificate of authority prior to transacting business in the State of Florida, the corporation is liable for \$16546.25 in appropriate fees and penalties as set forth in Section 607.1502(4), Florida Statutes, (copy enclosed).

Until a response is received by this office concerning the prior notification, the application by foreign corporation for authorization to transact business in Florida will not be processed. If erroneous information was reflected on the previously submitted application, a sworn affidavit may be filed stating the correct date the corporation first transacted business in Florida, that the corporation did not transact business in Florida prior to the application filing year and that the information entered on such application is incorrect. Any such affidavit will be included with your original qualification documents.

Please provide your response to this letter within 30 days to avoid the necessity of further action.

If you have further questions concerning the filing of your document, please telephone the Foreign Qualification/Tax Lien Section at (850) 487-6091.

Hart Collins  
Senior Corporate Section Administrator Letter No. 398A00032809

Enclosure



FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham  
Secretary of State

August 24, 1998

RACHEL SEXTON  
STEPHEN DUNN & ASSOCIATES, INC.  
1728 ABBOT KINNEY BLVD.  
VENICE, CA 90291

SUBJECT: STEPHEN DUNN & ASSOCIATES, INC.  
Ref. Number: W98000007075

**CERTIFIED MAIL #P 348 067 094 RETURN RECEIPT REQUESTED**

This is the Department of State's third request for information to establish the date STEPHEN DUNN & ASSOCIATES, INC. first transacted business in Florida. Failure to respond to this letter may result in legal action against the corporation pursuant to Sections 607.0130(3) and 607.1502(4), Florida Statutes (copies enclosed).

Based upon information provided by the Florida Department of Revenue, it appears that STEPHEN DUNN & ASSOCIATES, INC. has transacted business in Florida since October 12, 1983. This date is not the same as the date indicated on the corporation's previously submitted application.

If erroneous information was listed on the application or the date the corporation began conducting its affairs in Florida falls under an enumerated exemption provided for in Section 607.1501, Florida Statutes (copy enclosed), an affidavit to clarify this discrepancy must be sent to this office.

Until this office is notified otherwise, penalties and fees in the amount of \$16546.25 are now due. To avoid further penalty, either payment or an affidavit must be remitted within 45 days of receipt of this letter. Please make your check payable to the Department of State and send it to the address indicated below. If you have any questions regarding this matter, please telephone (904) 487-6091.

I look forward to your response.

Sincerely,

Gerard T. York, Assistant General Counsel

Enclosures Letter No. 198A00043793



# *Department of State*

## *Memorandum Office of the General Counsel*

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TO: File

FROM: Gerard York, Assistant General Counsel

DATE: January 7, 1999

RE: Stephen Dunn & Associates, Inc.

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Based on my review of the file, the affidavit and the payments received from the corporation, it is my recommendation that this file be closed. The affidavit of a corporate officer establishes corporation did not transact business in Florida before 1988. Corporation has paid outstanding report fees from 1988 of \$1446.25 and foreign non-qualified penalties of \$ 3500.00 assessed in a lump sum to avoid litigation and wishes to be qualified to do business in the State of Florida. Accordingly, it is recommended corporation be issued a certificate of authority.

/gty

## AFFIDAVIT

I, Tom Scheer, an authorized Officer of Stephen Dunn & Associates, Inc., hereby state that Stephen Dunn & Associates, Inc. is primarily engaged in fund raising on behalf of nonprofit organizations throughout the country. This activity was engaged in in the State of Florida through contacts initiated outside of the State of Florida from 1983 through 1987. Beginning in 1988, Stephen Dunn & Associates, Inc. began placing employees with certain charitable organizations in the State of Florida to assist in fund raising development. This activity was not engaged in from the years 1983 through 1987. In preparing the Application for Certificate of Authority in the State of Florida, the preparer erroneously listed the date of commencement of business activities in the State of Florida as 1983. The year 1983 is actually the year in which Stephen Dunn & Associates, Inc. was incorporated as a domestic corporation in the State of California. I hereby acknowledge that I have personal knowledge of the facts and statements contained herein and that they are true and correct to the best of my knowledge and belief.

Signed under the penalties of perjury this 18<sup>th</sup> day of December, 1998.

STEPHEN DUNN & ASSOCIATES, INC.

Tom Scheer  
Authorized Officer

12/18/98  
Date

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JAN 12 PM 1:09

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION  
TO TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:**

1. Stephen Dunn & Associates, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. California 3. 95-3879807  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. October 18, 1983 5. perpetual  
(Date of Incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon qualification  
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))
7. 1728 Abbot Kinney Boulevard  
Venice, CA 90291  
(Current mailing address)
8. fundraising for nonprofit, performing arts organizations  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)  
Name: CT Corporation System  
Office Address: 1200 South Pine Island Road  
Plantation, Florida, 33324  
(Zip Code)
10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above state corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Stephanie A. Brooks  
(Registered agent's signature)  
Stephanie A. Brooks, Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
99 JAN 30 AM 11:50  
99 JAN 12 PM 1:15  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS



12. Names and addresses of officers and/or directors: (Street address ONLY- P. O. Box NOT acceptable)

A. DIRECTORS (Street address only- P. O. Box NOT acceptable)

Chairman: Stephen Dunn  
Address: 1728 Abbot Kinney Boulevard  
Venice, CA 90291

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS (Street address only- P. O. Box NOT acceptable)

President: Krista Mooradian  
Address: 1728 Abbot Kinney Boulevard  
Venice, CA 90291

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: Tom Scheir

Address: 1728 Abbot Kinney Boulevard  
Venice, CA 90291

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Krista Mooradian  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Krista Mooradian, President  
(Typed or printed name and capacity of person signing application)

# State of California

## SECRETARY OF STATE

### CERTIFICATE OF STATUS DOMESTIC CORPORATION

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That on the 12th day of October, 19 83,

STEPHEN DUNN & ASSOCIATES, INC.

became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

That no record exists in this office of a certificate of dissolution of said corporation nor of a court order declaring dissolution thereof, nor of a merger or consolidation which terminated its existence; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

February 9, 1998.



*Bill Jones*

Secretary of State

98 MAR 30 AM 9:50  
TALLAHASSEE, FLORIDA

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JAN 12 PM 1:10