

# F-98000007015

## TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: CARLIN EQUITIES CORPORATION  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

R. GAETA, COMPLIANCE DEPT. 400002721664--3  
(Name of Person) 12/24/98--01023--006  
\*\*\*\*\*87.50 \*\*\*\*\*87.50  
CARLIN EQUITIES CORPORATION  
(Firm/Company)  
1270 Avenue of The AMERICAS, 12<sup>th</sup> FL.  
(Address)  
New York, New York 10020  
(City/State/Zip)

Should you need to call someone concerning this matter, please call:

ROBERT GAETA at (212) 332-2688  
(Name of Person) (Area Code & Daytime Telephone Number)

### STREET ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

### MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

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DIVISION OF CORPORATIONS  
98 DEC 24 AM 10:20

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy

# APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. CARLIN EQUITIES CORP.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. New York  
(State or country under the law of which it is incorporated)
3. N/A  
(FEI number, if applicable)
4. 10/14/88  
(Date of incorporation)
5. N/A  
(Duration: Year corp. will cease to exist or "perpetual")
6. N/A  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 1270 Avenue of The Americas, 12<sup>th</sup> FL.  
New York, New York 10020  
(Current mailing address)
8. Securities business.  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)  
Name: Sharon DeGrego  
Office Address: 5550 Glades Road, Suite 200  
Boca Raton, Florida, 33431  
(Zip code)

## 10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Sharon DeGrego  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: Ronald H. Shear

Address: 1270 Ave. OF The Americas, 12<sup>th</sup> FL.  
N.Y., N.Y. 10020

Vice Chairman: Mayer Offman

Address: Same

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: Ronald H. Shear

Address: 1270 Ave. OF The Americas, 12<sup>th</sup> FL  
N.Y., N.Y. 10020

Vice President: Mayer Offman

Address: Same

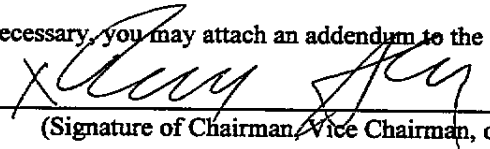
Secretary: Salvatore Risi

Address: Same

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. X   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. RONALD SHEAR, PRES.  
(Typed or printed name and capacity of person signing application)

State of New York } ss:  
Department of State

I hereby certify, that the certificate of incorporation of CARLIN EQUITIES CORP. was filed on 11/30/1988, under the name of INVESTORS OPTION COMPANY, INC., with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

A Certificate of Amendment INVESTORS OPTION COMPANY, INC., changing name to CARLIN EQUITIES CORP., was filed 08/12/1993.

The Biennial Statement is past due.

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Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 08th day of December  
one thousand nine hundred and  
ninety-eight.



Special Deputy Secretary of State

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