

Document Number Only

F980000006391

C T CORPORATION SYSTEM

Requestor's Name

660 East Jefferson Street

Address

Tallahassee, FL 32301 222-1092

City

State

Zip

Phone

CORPORATION(S) NAME

200002693132--7
-11/23/98--01005--022
*****70.00 *****70.00

9/11/23
98 NOV 20 AM 10:30
FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS

Summit Financial Services Group, Inc.

☒ Profit

☐ NonProfit

☐ Amendment

☐ Merger

☐ Limited Liability Company

☐ Dissolution/Withdrawal

☐ Mark

☒ Foreign

☐ Limited Partnership

☐ Annual Report

☐ Other UCC-1 UCC-3

☐ Reinstatement

☐ Reservation

☐ Change of R.A.

☐ Limited Liability Partnership

☐ Fictitious Name

☐ Certified Copy

☐ Photo Copies

☐ CUS

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CONNIE

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TO TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE
STATE OF FLORIDA:**

1. Summit Financial Services Group, Inc.
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Commonwealth of Pennsylvania
(State or country under the law of which it is incorporated)
3. _____
(FEI number, if applicable)
4. March 1, 1976
(Date of Incorporation)
5. Perpetual
(Duration: Year corp. will cease to exist or "perpetual")
6. October 21, 1998
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))
7. One Bethlehem Plaza
Bethlehem, PA 18018
(Current mailing address)
8. Provision of discount and full service brokerage services.
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. **Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)**

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida, 33324
(Zip Code)
10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: _____

(Registered agent's signature)

ANN J. WILLIAMS

Assistant Vice President

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address **ONLY**- P. O. Box **NOT** acceptable)

A. DIRECTORS (Street address only- P. O. Box NOT acceptable)

Chairman: See attached officers/directors rider

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS (Street address only- P. O. Box NOT acceptable)

President: See attached officers/directors rider

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Joseph J. McCaffrey, President, C.O.O.
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Joseph J. McCaffrey, President and C.O.O.
(Typed or printed name and capacity of person signing application)



One Bethlehem Plaza
Bethlehem, PA 18018
800-631-1635

BOARD OF DIRECTORS

Jack D. Cussen (CHAIRMAN)
Joseph J. McCaffrey
James S. Little
Dennis A. Williams
Mark Stoll
Gerard J. Hallman
Richard J. Jennings

OFFICERS

Jack Cussen	Chairman
Joseph McCaffrey	President
Jack Ader	Senior VP
Gerard Hallman	Senior VP
Mark Stoll	Senior VP
Christopher Maurer	VP
Richard Jennings	VP
Kevin Serensits	VP
Daniel Smith	VP
Joseph Agresti	VP
Brian Dunn	VP
James Lithgow	VP
James Pappas III	VP
Gregory Russo	VP
Kenneth Spann	VP
John Hensen	VP

LOCATION (Business Address)

210 Main Street	1 Bethlehem Plaza
Hackensack, NJ 07601	Bethlehem, PA 18018

* Jack Cussen	* Gerard Hallman
* Joseph McCaffrey	* Richard Jennings
* James Little	Christopher Maurer
Daniel Smith	Kevin Serensits
Joseph Agresti	Gregory Russo
John Hensen	

* Dennis Williams - 301 Carnegie Center, Princeton, NJ 08543
 Jack Ader - 750 Walnut Street, Cranford, NJ 07016
 * Mark Stoll - 815 Arnold Avenue, Point Pleasant, NJ 08742
 Brian Dunn - 871 Mantua Avenue, Woodbury, NJ 08096
 James Lithgow - 750 Walnut Street, Cranford, NJ 07016
 James Pappas - 430 Amwell Road, Belle Meade, NJ 08502
 Kenneth Spann - 25 West Front Street, Keyport, NJ 07735

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COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

NOVEMBER 16, 1998

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

SUMMIT FINANCIAL SERVICES GROUP, INC.

is duly incorporated under the laws of the Commonwealth of Pennsylvania,
and remains a subsisting corporation so far as the records of this office
show, as of the date herein.



IN TESTIMONY WHEREOF, I have
hereunto set my hand and caused
the Seal of the Secretary's
Office to be affixed, the day
and year above written.

Kim Ditzgen

ACTING Secretary of the Commonwealth

DBOH

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