

2000 UNIFORM BUSINESS REPORT (UBR)**DOCUMENT # F98000006183**

1. Entity Name

TERRA SECURITIES CORPORATION**FILED****May 04, 2000 8:00 am**
Secretary of State

05-04-2000 90158 015 ***150.00

Principal Place of Business

Mailing Address

**WEST BROAD ST.
RICHMOND VA 23230****6604 WEST BROAD ST.
RICHMOND VA 23230-1702**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

36-3120284

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE FL 32301**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☒**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

11. OFFICERS AND DIRECTORS		12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE	PD	TITLE	☒ Change ☐ Addition
NAME	REEDY, DAVID	NAME	David S. Reedy
STREET ADDRESS	2015 SPRING RD., STE. 550	STREET ADDRESS	
CITY-ST-ZIP	OAK BROOK IL 60523	CITY-ST-ZIP	
TITLE	SD	TITLE	☒ Change ☐ Addition
NAME	MINDEL, NORBERT	NAME	Norbert M. Mindel
STREET ADDRESS	2015 SPRING RD., STE. 550	STREET ADDRESS	
CITY-ST-ZIP	OAK BROOK IL 60523	CITY-ST-ZIP	
TITLE	D	TITLE	☒ Change ☐ Addition
NAME	BUDDLE, JAMES	NAME	James J. Buddle
STREET ADDRESS	2015 SPRING RD., STE. 550	STREET ADDRESS	6604 W. Broad St.
CITY-ST-ZIP	OAK BROOK IL 60523	CITY-ST-ZIP	Richmond, VA 23230
TITLE	D	TITLE	☒ Change ☐ Addition
NAME	CURTIS, SCOTT	NAME	Scott A. Curtis
STREET ADDRESS	2015 SPRING RD., STE. 550	STREET ADDRESS	6610 W. Broad St.
CITY-ST-ZIP	OAK BROOK IL 60523	CITY-ST-ZIP	Richmond, VA 23230
TITLE		TITLE	☐ Change ☒ Addition
NAME		NAME	Gary T. Prizzia
STREET ADDRESS		STREET ADDRESS	6628 W. Broad St.
CITY-ST-ZIP		CITY-ST-ZIP	Richmond, VA 23230
TITLE		TITLE	☐ Change ☒ Addition
NAME		NAME	V/S
STREET ADDRESS		STREET ADDRESS	
CITY-ST-ZIP		CITY-ST-ZIP	

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Theresa A. Myers
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR4/21/00
Date(804) 662-2695
Daytime Phone #

CR2E034 (9/99)

Officers and Directors

Current As Of: 03/24/2000

Terra Securities Corp.

6604 West Broad Street
Richmond, VA 23230

<u>Officer Name</u>	<u>Officer Title</u>	<u>Business Address:</u>
Reedy, David S.	President and Chief Executive Officer	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Savage, Brian T.	Executive Vice President and Chief Compliance Officer	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Heinrich, Marcus K.	Executive Vice President	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Mindel, Norbert M.	Executive Vice President	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Prizzia, Gary T.	Treasurer	6620 West Broad Street Richmond, VA 23230
Casey, Thomas W.	Senior Vice President and Chief Financial Officer	6604 West Broad Street Richmond, VA 23230
Gallagher, J. Terence	Senior Vice President	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Kite, Howard S.	Senior Vice President	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Stein, Joyce D.	Senior Vice President	2015 Spring Road, Suite 550 Oak Brook, IL 60523
Towner, Deborah C.	Senior Vice President and Chief Mortgage Investment Officer	601 Union Street Seattle, WA 98101
Bobitz, Ward E.	Vice President and Secretary	6604 West Broad Street Richmond, VA 23230
Daglish, Brenda	Vice President and Assistant Treasurer	6604 West Broad Street Richmond, VA 23230

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Officers and Directors

Current As Of: 03/24/2000

Terra Securities Corp.

6604 West Broad Street
Richmond, VA 23230

Daner, William E., Jr.	Vice President, General Counsel and Assistant Secretary	6610 West Broad Street Richmond, VA 23230
Fucci, Richard G.	Vice President	6604 West Broad Street Richmond, VA 23230
Myers, Theresa A.	Assistant Secretary	6604 West Broad Street Richmond, VA 23230
Sullivan, Shelley M.	Assistant Secretary	6604 West Broad Street Richmond, VA 23230
Williamson, W. Scott	Assistant Secretary	6610 West Broad Street Richmond, VA 23230
Amato, John	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Cassidy, Joseph T.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Fiammetta, Donna M.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Hyde, Jeffrey L.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Jones, Sandra A.	Assistant Treasurer	6604 West Broad Street Richmond, VA 23230
Kempson, Kenneth E.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Murphy, David R.	Assistant Treasurer	6620 West Broad Street Richmond, VA 23230
Aaron, Janet M.	Mortgage Investment Officer	601 Union Street Seattle, WA 98101

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Officers and Directors

Current As Of: 03/24/2000

Terra Securities Corp.

6604 West Broad Street
Richmond, VA 23230

Bridgeport, Parker D.	Investment Officer	601 Union Street Seattle, WA 98101
Card, Gregory M.	Investment Officer	601 Union Street Seattle, WA 98101
DeVos, Stephen N.	Investment Officer	6604 West Broad Street Richmond, VA 23230
Lucia, Jon M.	Investment Officer	601 Union Street Seattle, WA 98101
Moore, Morian C.	Investment Officer	601 Union Street Seattle, WA 98101
Prellwitz, Pamela M.	Mortgage Investment Officer	601 Union Street, Suite 5600 Seattle, WA 98101
Sheehan, Daniel J., IV	Mortgage Investment Officer	601 Union Street Seattle, WA 23230
Tollefson, Marc W.	Investment Officer	601 Union Street Seattle, WA 98101
Vanic, Glen J.	Investment Officer	601 Union Street, Suite 1300 Seattle, WA 98101

Directors:

Business Address:

Buddle, James J.
6604 West Broad Street
Richmond, VA 23230

Casey, Thomas W.
6604 West Broad Street
Richmond, VA 23230

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Officers and Directors

Current As Of: 03/24/2000

Terra Securities Corp.

6604 West Broad Street
Richmond, VA 23230

Curtis, Scott A.

6610 West Broad Street
Richmond, VA 23230

Mindel, Norbert M.

2015 Spring Road, Suite 550
Oak Brook, IL 60523

Reedy, David S.

2015 Spring Road, Suite 550
Oak Brook, IL 60523

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