

# F98000005084

## KATZ, TELLER, BRANT & HILD

A LEGAL PROFESSIONAL ASSOCIATION

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\*ALSO ADMITTED IN FLORIDA  
\*\*ALSO ADMITTED IN KENTUCKY  
\*\*\*ALSO ADMITTED IN MAINE

August 24, 1998

### VIA CERTIFIED MAIL

Florida Secretary of State  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

400002635904--8  
-09/10/98--01024--001  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

400002635904--8  
-09/10/98--01024--002  
\*\*\*\*\*52.50 \*\*\*\*\*52.50

Re: Fund Evaluation Group, Inc.  
Fund Evaluation Group Portfolio Services, Inc.

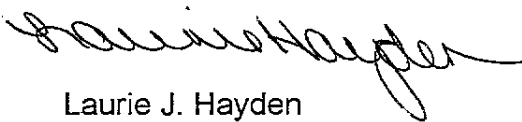
Dear Sir or Madam:

I am enclosing an original and one copy of an Application by Foreign Corporation for Authorization to Transact Business in Florida for each of the above referenced Ohio corporations which wish to transact business in the State of Florida. Good Standing Certificates issued by the Ohio Secretary of State and two checks in the amount of \$52.50 each to cover the filing fee are also enclosed.

Kindly forward a certified copy of each of these filings to my attention in the self-addressed, stamped envelope provided. Thank you for your assistance.

Thank you for your assistance.

Sincerely,



Laurie J. Hayden  
Corporate Paralegal

Enclosures

cc: Ms. Janet Thede, w/enclosures  
Mark J. Jahnke, Esq.

KTBH: 373146.1

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SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
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# 9/10

W98-20160

W98-2062



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State

September 4, 1998

LAURIE HAYDEN  
KATZ, TELLER, ET AL.  
255 E. 5TH ST., SUITE 2400  
CINCINNATI, OH 45202-4724

SUBJECT: FUND EVALUATION GROUP, INC.  
Ref. Number: W98000020160

We have received the qualification documents and they will be retained in our files for the following:

We are returning the check for \$52.00 to be replaced for one in the correct amount of \$70.00-see fee schedule.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6092.

Hart Collins  
Senior Corporate Section Administrator

Letter Number: 998A00045314

# APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:

1. Fund Evaluation Group, Inc.  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION", or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Ohio  
(State or country under the law of which it is incorporated)
3. 31-1229779  
(FEI number, if applicable)
4. January 27, 1988  
(Date of incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. Upon Qualification  
(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 817.156, F.S.))
7. 205 W. Fourth St., Suite 810, Cincinnati, Ohio 45202  
  
(Current mailing address)
8. registered investment advisor  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. Name and street address of Florida registered agent:  
  
Name: C T Corporation System  
Office Address: c/o C T Corporation System, 1200 South Pine Island Road  
  
Plantation, Florida, 33324  
(Zip Code)

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10. Registered agent acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligation of my position as registered agent.*

C T Corporation System



(Registered agent's signature) (Officer)

**Carol Record**  
**Assistant Secretary**

(Type Name and Title of Officer)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: see attached list of directors

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS

President: see attached list of officers

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.



\_\_\_\_\_  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Daniel J. Penn, President

\_\_\_\_\_  
(Typed or printed name and capacity of person signing application)

Appendix to Florida  
Application by Fgn. Corp. for Authorization to Transact Business in Florida

**Directors of  
Fund Evaluation Group, Inc.**

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1. Daniel J. Penn  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
2. Frederick J. Diamond  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
3. Scott B. Harsh  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
4. Steven M. Kapor  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
5. Christopher M. Meyer  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
6. Bruce A. Benjamin  
6307 Valleyview Drive  
Fishers, Indiana 46038
7. J. David Stein  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202

Appendix to Florida  
Application by Fgn. Corp. for Authorization to Transact Business in Florida

**Officers of  
Fund Evaluation Group, Inc.**

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1. Daniel J. Penn, President and Secretary  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
2. Frederick J. Diamond, Chairman and Treasurer  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
3. Scott B. Harsh, Managing Principal  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
4. Steven M. Kapor, Managing Principal  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
5. Christopher M. Meyer, Managing Principal  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202
6. Bruce A. Benjamin, Managing Principal  
6307 Valleyview Drive  
Fishers, Indiana 46038
7. J. David Stein, Managing Principal  
205 W. Fourth St., Suite 810  
Cincinnati, Ohio 45202

UNITED STATES OF AMERICA,  
STATE OF OHIO,  
OFFICE OF THE SECRETARY OF STATE.

}

*I, Bob Taft, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign corporations; that said records show FUND EVALUATION GROUP, INC., an Ohio Corporation, Charter No. 717478, having its principal location in Cincinnati, County of Hamilton, was incorporated on January 27, 1988, is currently in GOOD STANDING upon the records of this office.*



WITNESS my hand and official  
seal at Columbus, Ohio on  
August 19, 1998

*Bob Taft*

Bob Taft  
Secretary of State

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
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