

F98000004987

TRANSMITTAL LETTER

TO: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: HRB INVESTMENTS, INC.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Barbara Phillips  
(Name of Person)

H&R Block  
(Firm/Company)

4400 Main Street  
(Address)

Kansas City, MO 64111  
(City/State/Zip)

\*\*UPS SHIPPING BILL ENCLOSED  
FOR OVERNIGHT RETURN OF DOCS\*\*

100002631351--9  
-09/02/98--01067--001  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

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98 SEP -2 AM 9:00  
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SECRETARY OF STATE  
DIVISION OF CORPORATIONS

Should you need to call someone concerning this matter, please call:

Barbara Phillips  
(Name of Person)

at ( 816 ) 932-4949  
(Area Code & Daytime Telephone Number)

**COURIER ADDRESS:**

Qualification/Tax Lien Sec.  
Division of Corporations  
409 E. Gaines St  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

## HRB INVESTMENTS, INC.

4400 Main Street

Kansas City, Missouri 64111

Phone: 816-753-6900 Fax: 816-932-8489

VIA OVERNIGHT MAIL

August 31, 1998

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines Street  
Tallahassee, FL 32399

Re: HRB Investments, Inc.

Dear Sir or Madam:

Please find the following documents submitted in connection with the above referenced corporation's application for authority to transact business in Florida:

- Transmittal Letter
- Completed application in duplicate
- Certificate of Good Standing
- A check in the amount of \$70.00 for registration fee.
- A UPS shipping bill for return of letter of acknowledgement.

If you have any questions or need anything further please contact me at 816/932-4949.

Very truly yours,



Barbara Phillips  
Legal Assistant

Enclosures

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98 SEP -2 AM 9:00

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION  
TO TRANSACT BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:*

1. HRB INVESTMENTS, INC.

(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Delaware

(State or country under the law of which it is incorporated)

3. 51-0346326

(FEI number, if applicable)

4. 2/10/93

(Date of Incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification

(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))

7. 4400 Main Street

Kansas City, MO 64111

(Current mailing address)

to become a registered investment advisor and to engage in any lawful act or activity for which corporations may be organized

8. under the laws of Florida

(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida, 33324

(Zip Code)

10. **Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

See attached  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address **ONLY**- P. O. Box **NOT** acceptable)

**A. DIRECTORS (Street address only- P. O. Box NOT acceptable)**

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: Frank L. Salizzoni

Address: 4400 Main Street, Kansas City, MO 64111  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS (Street address only- P. O. Box NOT acceptable)**

President: See attached sheet

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

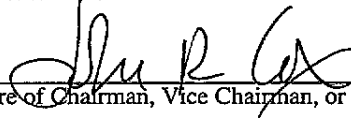
Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. John R. Cox - Secretary  
(Typed or printed name and capacity of person signing application)

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**HRB INVESTMENTS, INC.**

**Directors:**

Frank L. Salizzoni

**Officers:**

Frank L. Salizzoni  
Ozzie Wenich

Kristine K. Rodgers

Andrea Sue Carrico  
Rebecca Lee Jackson  
John Robert Cox

President, Chief Executive Officer  
Senior Vice President, Chief  
Financial Officer and Treasurer  
Chief Operating Officer, Vice  
President  
Assistant Vice President  
Chief Compliance Officer  
Chief Legal Officer, Secretary

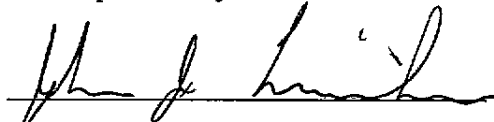
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DIVISION OF CORPORATIONS  
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## ACCEPTANCE OF AGENT

**Re: HRB Investments, Inc.**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligation of my position as registered agent.

CT Corporation System

A handwritten signature in dark ink, appearing to read "John J. Linnihan", is written over a horizontal line.

John J. Linnihan, Assistant Vice President

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DIVISION OF CORPORATIONS  
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*State of Delaware*  
*Office of the Secretary of State*

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "HRB INVESTMENTS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIRST DAY OF JULY, A.D. 1998.

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
98 SEP -2 AM 9:00



*Edward J. Freel*

Edward J. Freel, Secretary of State

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AUTHENTICATION: 9208574

DATE: 07-21-98