


**2007 FOR PROFIT CORPORATION  
ANNUAL REPORT**

**FILED**  
**Jul 19, 2007 08:00 AM**  
**Secretary of State**

<b>DOCUMENT # F98000004701</b> 1. Entity Name VINING-SPARKS SECURITIES, INC.	
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Principal Place of Business 775 RIDGE LAKE BLVD. 2ND FLOOR MEMPHIS, TN 38120	Mailing Address 775 RIDGE LAKE BLVD. 2ND FLOOR MEMPHIS, TN 38120
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07132007 No Chg-P CR2E034 (11/05)

**DO NOT WRITE IN THIS SPACE**

4. FEI Number 62-1112510	Applied For Not Applicable
5. Certificate of Status Desired <input type="checkbox"/>	<b>\$8.75</b> Additional Fee Required

6. Name and Address of Current Registered Agent  WARD, ROBERT J 500 W. CYPRESS CREEK RD, SUITE 220 FT LAUDERDALE, FL 33309	<b>DO NOT WRITE IN THIS SPACE</b>
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8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable.

**FILE NOW!!! FEE IS \$550.00  
Due by September 14, 2007**

9. Election Campaign Financing  
Trust Fund Contribution. ☐ **\$5.00** May Be  
Added to Fees

000000769637  
07/19/07-80010-012 550.00

10. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	CP VINING, JAMES L 775 RIDGE LAKE BLVD., 2ND FLOOR MEMPHIS, TN 38120
TITLE NAME STREET ADDRESS CITY - ST - ZIP	V SCOTT, FRANCIS J 775 RIDGE LAKE BLVD., 2ND FLOOR MEMPHIS, TN 38120
TITLE NAME STREET ADDRESS CITY - ST - ZIP	ST LEIVINGSTON, LARRY B 775 RIDGE LAKE BLVD., 2ND FLOOR MEMPHIS, TN 38120
TITLE NAME STREET ADDRESS CITY - ST - ZIP	
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TITLE NAME STREET ADDRESS CITY - ST - ZIP	

**DO NOT WRITE  
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate, and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address with all other information empowered.

**SIGNATURE:**  **Treasurer/Secretary** **7/16/2007** **(901) 766-3000**  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #