

F980000004293

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: Subert Securities, Inc.
(Name of corporation - must include suffix)

800002596358--0
-07/23/98-01045--004
*****78.75 *****78.75

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation to
transact business in Florida.

Please return all correspondence concerning this matter to the following:

w98-16824

Martin P. Russo, Esquire
(Name of Person)
MPR Law Practice, P.C.
(Firm/Company)
60 East 42nd Street, Suite 843
(Address)
New York, New York 10165
(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Martin P. Russo, Esq. at (212) 557-1115
(Name of Person) (Area Code & Daytime Telephone Number)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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STREET ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

MPR Law Practice, P.C.

60 East 42nd Street, Suite 843
New York, New York 10165
(212) 557-1115

Martin P. Russo, Esq.

July 22, 1998

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

Re: Application for Foreign Corporation Authorization

Dear Sirs:

Enclosed please find Subert Securities, Inc.'s Application for Foreign Corporation Authorization, a check and a New York State certificate of existence. Please forward to me a certificate of status at the above address.

Thank you in advance for your courtesy.

Sincerely,



Martin P. Russo



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State

July 23, 1998

MARTIN P. RUSSO, ESQUIRE
MPR LAW PRACTICE P.C.
60 EAST 42ND STREET, SUITE 843
NEW YORK, NY 10165

SUBJECT: SUBERT SECURITIES, INC.
Ref. Number: W98000016824

We have received your document for SUBERT SECURITIES, INC. and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The entity's date of incorporation/organization must be listed in the document.

The entity's period of duration must be listed on the application. Please insert the word "perpetual", if a specific date of dissolution or term of existence has not been specified.

A brief description of the entity's nature of business must be included in the document.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6958.

Lee Rivers
Document Specialist

Letter Number: 198A00039077

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Subert Securities, Inc.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. New York State 3. 11-3184023
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 10/7/93 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. No business transacted in Florida prior to this application.
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. Subert Securities, Inc.
One Jericho Plaza, 3rd Floor
Jericho, New York 11753
(Current mailing address)

8. Subert Securities, Inc. is a registered broker-dealer securities
which conducts firm trading and executes trades for customers.
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: Steven B. Schonfeld
Schonfeld Securities, LLC
Office Address: 5200 Town Center Circle, Suite 308
Boca Raton, Florida 33486
, Florida,
(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: Steven Bart Schonfeld

Address: 171 Doral CT

East Hills, NY 11576

Vice Chairman:

Address:

Director:

Address:

Director:

Address:

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TALLAHASSEE FLORIDA

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: Steven B. Schonfeld

Address: 171 Doral CT

East Hills, NY 11576

Vice President: Steven B. Schonfeld

Address: (same as above)

Secretary: Kathryn A. Licursi

Address: 3 Fishcreek Lane

Remsenberg, NY 11960

Treasurer: Steven B. Schonfeld

Address: (same as above)

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Steven B. Schonfeld, Chairman and President
(Typed or printed name and capacity of person signing application)

State of New York } ss:
Department of State

I hereby certify, that the certificate of incorporation of SUBERT SECURITIES, INC. was filed on 10/07/1993, under the name of SSI #1, INC., with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation. I further certify the following:

A Certificate of Amendment was filed on 07/20/1994.

A Certificate of Amendment SSI #1, INC., changing name to SUBERT SECURITIES, INC., was filed 12/09/1994.

The Corporation Biennial Statement is past due.

I further certify, that no other certificates have been filed by such corporation.



Witness my hand and the official seal
of the Department of State at the City
of Albany, this 30th day of June
one thousand nine hundred and
ninety-eight.

Special Deputy Secretary of State

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SECRETARY OF STATE
TALLAHASSEE FLORIDA