

2001 UNIFORM BUSINESS REPORT (UBR)**DOCUMENT # F98000003433**

1. Entity Name

CONSECO SECURITIES, INC.**FILED**
Jan 30, 2001 8:00 am
Secretary of State

01-30-2001 90149 026 ***150.00

00012323

DO NOT WRITE IN THIS SPACE

Principal Place of Business Mailing Address

301 GIBRALTAR DR **11825 NORTH PENNSYLVANIA STREET**
STE 2A **DEPT. A2A**
MORRIS PLAINS NJ 07950 **CARMEL IN 46032**

2. Principal Place of Business 3. Mailing Address

Suite, Apt. #, etc. Suite, Apt. #, etc.

City & State City & State

Zip Country Zip Country

4. FEI Number **22-3120482** Applied For
Not Applicable5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

7. Name and Address of New Registered Agent

Name
Street Address (P.O. Box Number is Not Acceptable)
City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☒**FILE NOW!!! FEE IS \$150.00**
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS			12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE	EVP	<input type="checkbox"/> Delete	TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	GABRIEL, JONATHAN P		NAME		
STREET ADDRESS	301 GIBRALTAR DR., STE 2A		STREET ADDRESS		
CITY-ST-ZIP	MORRIS PLAINS NJ		CITY-ST-ZIP		
TITLE	SVP	<input type="checkbox"/> Delete	TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	GUEVARA, CARLOS J		NAME		
STREET ADDRESS	301 GIBRALTAR DR., STE 2A		STREET ADDRESS		
CITY-ST-ZIP	MORRIS PLAINS NJ		CITY-ST-ZIP		
TITLE	VPSD	<input type="checkbox"/> Delete	TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	KOVACS, WILLIAM P		NAME		
STREET ADDRESS	11825 N. PENNSYLVANIA STREET		STREET ADDRESS		
CITY-ST-ZIP	CARMEL IN 46032		CITY-ST-ZIP		
TITLE	SVPT	<input type="checkbox"/> Delete	TITLE	SVPTD	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	ADAMS, JAMES S		NAME		
STREET ADDRESS	11825 N PENNSYLVANIA ST		STREET ADDRESS		
CITY-ST-ZIP	CARMEL IN 46032		CITY-ST-ZIP		
TITLE	PD	<input type="checkbox"/> Delete	TITLE		<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	GLOECKNER, L GREGORY		NAME		
STREET ADDRESS	11825 N PENNSYLVANIA AVE		STREET ADDRESS	11825 N. Pennsylvania Street	
CITY-ST-ZIP	CARMEL IN		CITY-ST-ZIP		
TITLE	SVPC	<input type="checkbox"/> Delete	TITLE		<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	DEVANNEY, WILLIAM T JR		NAME		
STREET ADDRESS	11825 N PENNSYLVANIA AVE		STREET ADDRESS	11825 N. Pennsylvania Street	
CITY-ST-ZIP	CARMEL IN		CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: **William T. Devanney, Jr.** (317) 817-6000
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

Conseco Securities, Inc.

DIRECTORS

James S. Adams
L. Gregory Gloeckner
William P. Kovacs

Attachment
00012325
#98000003433

OFFICERS

<u>Name</u>	<u>Office</u>
L. Gregory Gloeckner	President
*Jonathan P. Gabriel	Executive Vice President and Chief Operations Officer
James S. Adams	Senior Vice President, Chief Accounting Officer and Treasurer
David J. Barra	Senior Vice President, Corporate Finance
William T. Devanney, Jr.	Senior Vice President, Corporate Taxes
*Carlos J. Guevara	Senior Vice President
*Elisa Lanthier-Hennings	Senior Vice President, Finance
*Isabel Santiago	Senior Vice President, Brokerage Services
*Kimberly E. Catapano	Vice President
James C. Crampton	Vice President, Corporate Taxes
Christene E. Darnell	Vice President, Management Reporting
William P. Kovacs	Vice President, General Counsel and Secretary
Margaret A. Cullem-Fiore	Vice President, Associate General Counsel
Troy W. Hendricks	Second Vice President, Marketing

The address for the above Officers marked with * is 301 Gibraltar Dr., Suite 2A, Morris Plains, NJ 07950.

The address for the remaining Officers and Directors is 11825 N. Pennsylvania St., Carmel, IN 46032.