

2000 UNIFORM BUSINESS REPORT (UBR)**FILED****May 13, 2000 8:00 am**
Secretary of State

05-13-2000 90008 025 ***150.00

DOCUMENT # F98000003214

1. Entity Name

SG COWEN SECURITIES CORPORATION

Principal Place of Business

Mailing Address

--- AVENUE OF THE AMERICAS
.. YORK NY 100201221 AVENUE OF THE AMERICAS
NEW YORK NY 10020-1001

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

13-1976032

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☒ Delete
NAME **D**
STREET ADDRESS **COHEN, JOSEPH**
CITY-ST-ZIP **FINANCIAL SQUARE**
NEW YORK NY 10005TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☒ Delete
NAME **PD**
STREET ADDRESS **WELLING, CURTIS**
CITY-ST-ZIP **239 MILLSTONE ROAD**
WILTON CT 06897TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☒ Delete
NAME **COOD**
STREET ADDRESS **WALSH, JAMES**
CITY-ST-ZIP **74 EAST 79TH STREET**
NEW YORK NY 10021TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Delete
NAME **S**
STREET ADDRESS **BOWDEN, WILLIAM**
CITY-ST-ZIP **39 EAST 79TH STREET**
NEW YORK NY 10021TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Delete
NAME **D**
STREET ADDRESS **TOULOP, YVES**
CITY-ST-ZIP **1221 AVENUE OF THE AMERICAS**
NEW YORK NY 10020TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Delete
NAME **D**
STREET ADDRESS **JOYET, ALAIN**
CITY-ST-ZIP **1221 AVENUE OF THE AMERICAS**
NEW YORK NY 10020TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *William Bowden*
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR*4/20/2000 212 4956178*
Date Daytime Phone #

CR2E034 (9/99)