

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Mar 08, 1999 8:00 am
Secretary of State

03-08-1999 90046 023 ***150.00

DOCUMENT # F98000003214

1. Corporation Name

SG COWEN SECURITIES CORPORATION

Principal Place of Business

**1221 AVENUE OF THE AMERICAS
NEW YORK NY 10020**

Mailing Address

**1221 AVENUE OF THE AMERICAS
NEW YORK NY 10020**

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

06/08/1998

4. FEI Number

13-1976032

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing ☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☐ Yes ☐ No

2. Principal Place of Business

2a. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

T
NAME LAMPERT, KENNETH
STREET ADDRESS 44 BERRY AVENUE
CITY-ST-ZIP STATEN ISLAND NY 10312 ☒ DELETE

1.1 TITLE DIRECTOR
1.2 NAME COHEN, JOSEPH
1.3 STREET ADDRESS FINANCIAL SQUARE
1.4 CITY-ST-ZIP NY NY 10005 ☐ Change ☒ Addition

PD
NAME WELLING, CURTIS
STREET ADDRESS 239 MILLSTONE ROAD
CITY-ST-ZIP WILTON CT 06897 ☐ DELETE

2.1 TITLE DIRECTOR
2.2 NAME OUDET, JEAN-PAUL
2.3 STREET ADDRESS 1221 Ave of the Americas
2.4 CITY-ST-ZIP NEW YORK NY 10020 ☐ Change ☒ Addition

COOD
NAME WALSH, JAMES
STREET ADDRESS 74 EAST 79TH STREET
CITY-ST-ZIP NEW YORK NY 10021 ☐ DELETE

3.1 TITLE DIRECTOR
3.2 NAME GUILLEBERT, JEAN-BERNARD
3.3 STREET ADDRESS 1221 Ave of the Americas
3.4 CITY-ST-ZIP NEW YORK, NY 10020 ☐ Change ☒ Addition

S
NAME BOWDEN, WILLIAM
STREET ADDRESS 39 EAST 79TH STREET
CITY-ST-ZIP NEW YORK NY 10021 ☐ DELETE

4.1 TITLE Dir.
4.2 NAME LEROUX, ROBERT
4.3 STREET ADDRESS 1221 Ave of the Americas
4.4 CITY-ST-ZIP NY NY 10020 ☐ Change ☒ Addition

D
NAME TOULOP, YVES
STREET ADDRESS 1221 AVENUE OF THE AMERICAS
CITY-ST-ZIP NEW YORK NY 10020 ☐ DELETE

5.1 TITLE COO
5.2 NAME KELLY, JOHN
5.3 STREET ADDRESS 1221 Ave of Americas
5.4 CITY-ST-ZIP NY NY 10020 ☐ Change ☒ Addition

D
NAME JOYET, ALAIN
STREET ADDRESS 1221 AVENUE OF THE AMERICAS
CITY-ST-ZIP NEW YORK NY 10020 ☐ DELETE

6.1 TITLE CAO
6.2 NAME SARNS, DAVID
6.3 STREET ADDRESS FINANCIAL SQUARE
6.4 CITY-ST-ZIP NY NY 10005 ☐ Change ☒ Addition

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

James V. Joyet
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3/2/99
Date

212 4956178
Daytime Phone #

CR2E034 (11/98)