

Document Number Only

198000003214

C T CORPORATION SYSTEM

660 East Jefferson Street

Requestor's Name

Tallahassee, Florida 32301

Address

(850) 222-1092

City

State

Zip

Phone

CORPORATION(S) NAME

800002600698--3

-07/28/98--01074--008

*****35.00 *****35.00

Societe Generale Securities Corporation

Changing name to:

SG Caven Securities Corporation

☐ Profit

☐ NonProfit

☐ Limited Liability Company

☐ Foreign

☐ Limited Partnership

☐ Reinstatement

☐ Limited Liability Partnership

☐ Certified Copy

☐ Call When Ready

☒ Walk In

☐ Mail Out

☒ Amendment *N/C*

☐ Dissolution/Withdrawal

☐ Annual Report

☐ Fict. Filing

☐ Photo Copies

☐ Call if Problem

☐ Will Wait

☐ Merger

☐ Mark

☐ Other

☐ Change of A.

☐ UCC-1 UCC-3

☐ CUS

☐ After 4

☒ Pick Up

DEPARTMENT OF CORPORATION

98 JUL 28 PM 1:27

RECEIVED

Name
Availability

Document
Examiner

Updater

Verifier

Acknowledgment

W.P. Verifier

JUL 28 1998

Please Return Extra Copy(s)
Filed Stamp

Thanks, *Malani*

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

98 JUL 28 PM 2:53

FILED

CR2E031 (1-89)

**APPLICATION BY FOREIGN CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN
FLORIDA**

FILED
98 JUL 28 PM 2:54
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

SECTION I (1-3 must be completed)

1. Societe Generale Securities Corporation
Name of corporation as it appears within the records of the Department of State.
2. Incorporated under laws of: New York
3. Date authorized to do business in Florida: June 8, 1998

SECTION II (4-7 complete only the applicable changes)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation?

July 2, 1998

5. Name of corporation after the amendment, adding suffix "corporation," "company," "incorporated," or appropriate abbreviation, if not contained in new name of the corporation:

SG Cowen Securities Corporation

6. If the amendment changes the period of duration, indicate new period of duration.

No Change

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

Kenneth L. Lampert VP

Signature
Name and Title

7/14/98

Date

State of New York } ss:
Department of State

I hereby certify, that the certificate of incorporation of SG COWEN SECURITIES CORPORATION was filed on 06/08/1940, under the name of HUDSON TRADING & INVESTING CORPORATION, fixing the duration as perpetual, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

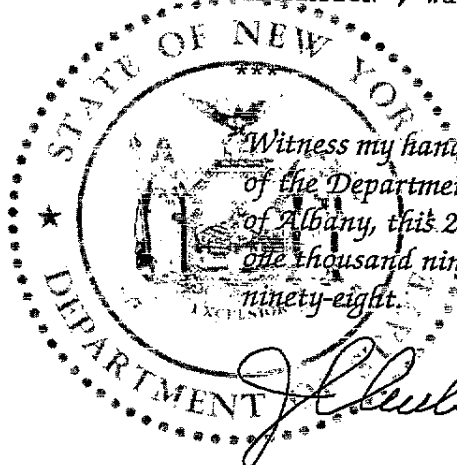
A Certificate of Amendment HUDSON TRADING & INVESTING CORPORATION, changing name to HUDSON SECURITIES, INC., was filed 02/02/1978.

A Certificate of Amendment HUDSON SECURITIES, INC., changing name to SOGEN SECURITIES CORPORATION, was filed 04/29/1983.

A Certificate of Amendment SOGEN SECURITIES CORPORATION, changing name to SOCIETE GENERALE SECURITIES CORPORATION, was filed 08/18/1989.

A Certificate of Amendment SOCIETE GENERALE SECURITIES CORPORATION, changing name to SG COWEN SECURITIES CORPORATION, was filed 07/02/1998.

Witness my hand and the official seal
of the Department of State at the City
of Albany, this 22nd day of July
one thousand nine hundred and
ninety-eight.



[Signature]

Special Deputy Secretary of State