

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 10, 2003 8:00 am
Secretary of State

02-10-2003 90189 001 ***150.00

DOCUMENT # F98000002630

1. Entity Name
RBC DAIN RAUSCHER INC.



Principal Place of Business
60 S. SIXTH ST.
MINNEAPOLIS MN 55402

Mailing Address
60 S. SIXTH ST.
MINNEAPOLIS MN 55402

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **41-1416330**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional Fee Required

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003 Fee will be \$550.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **CEOP** ☐ Delete
NAME **WEISER, IRVING**
STREET ADDRESS **60 S. SIXTH ST.**
CITY-ST-ZIP **MINNEAPOLIS MN 55402**

TITLE ☐ Change ☐ Addition
NAME **See attachment for a**
STREET ADDRESS **complete list of officers and directors.**
CITY-ST-ZIP

TITLE **CFOD** ☒ Delete
NAME **APPEL, JOHN C**
STREET ADDRESS **60 S. SIXTH ST.**
CITY-ST-ZIP **MINNEAPOLIS MN 55402**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **VD** ☒ Delete
NAME **TSCHESTER, RONALD A**
STREET ADDRESS **60 S. SIXTH ST.**
CITY-ST-ZIP **MINNEAPOLIS MN 55402**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **SEVP** ☐ Delete
NAME **GRANT, PETER**
STREET ADDRESS **60 S. SIXTH ST.**
CITY-ST-ZIP **MINNEAPOLIS MN 55402**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **VD** ☒ Delete
NAME **SPIKER, SCOTT J**
STREET ADDRESS **60 S. SIXTH ST.**
CITY-ST-ZIP **MINNEAPOLIS MN 55402**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **CEOP** ☐ Delete
NAME **WEISER, IRVING**
STREET ADDRESS **60 S. SIXTH ST.**
CITY-ST-ZIP **MINNEAPOLIS MN 55402**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Bentley J. Anderson

(Bentley J. Anderson)

2/5/03

(612) 371-7642

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/02)

Attachments DOC# F98000002630

ATTACHMENT

DIRECTORS AND OFFICERS
RBC DAIN RAUSCHER INC.
(a Minnesota corporation)

80025650

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Irving Weiser	Chief Executive Officer, Chairman and Director	60 South Sixth Street Minneapolis, MN 55402
Peter Armenio	President, Chief Operating Officer and Director	60 South Sixth Street Minneapolis, MN 55402
Lisa A. Ferris	Executive Vice President, Chief Financial Officer and Director	60 South Sixth Street Minneapolis, MN 55402
Peter M. Grant	Senior Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
Charles E. Grose	Executive Vice President	60 South Sixth Street Minneapolis, MN 55402
Lawrence C. Holtz	Executive Vice President	60 South Sixth Street Minneapolis, MN 55402
Paula H. Phillippe	Executive Vice President	60 South Sixth Street Minneapolis, MN 55402
Carla J. Smith	Executive Vice President and Secretary	60 South Sixth Street Minneapolis, MN 55402
Bentley J. Anderson	Assistant Secretary	60 South Sixth Street Minneapolis, MN 55402
Deborah J. Kermeen	Senior Vice President and Treasurer	60 South Sixth Street Minneapolis, MN 55402