

# 2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F98000002630

FILED  
Apr 28, 2010  
Secretary of State

**Entity Name:** RBC CAPITAL MARKETS CORPORATION

**Current Principal Place of Business:**

ONE LIBERTY PLAZA  
165 BROADWAY  
NEW YORK, NY 10006

**New Principal Place of Business:**

**Current Mailing Address:**

ONE LIBERTY PLAZA, 165 BROADWAY  
ATTN: E. HELLWIG, 5TH FLOOR  
NEW YORK, NY 10006

**New Mailing Address:**

**FEI Number:** 41-1416330      **FEI Number Applied For ( )**      **FEI Number Not Applicable ( )**      **Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 323012525 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: \_\_\_\_\_

Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Election Campaign Financing Trust Fund Contribution ( ).**

**OFFICERS AND DIRECTORS:**

Title: PD  
Name: TAFT, JOHN  
Address: 60 S. SIXTH ST.  
City-St-Zip: MINNEAPOLIS, MN 10006

Title: S  
Name: LEVEY, LISA  
Address: ONE LIBERTY PLAZA, 165 BROADWAY,  
City-St-Zip: NEW YORK, NY 10006

Title: CD  
Name: STANDISH, MARK  
Address: 200 VESEY STREET, 8TH FLOOR  
City-St-Zip: NEW YORK, NY 10281

Title: EVPD  
Name: KAVANAGH, MICHAEL  
Address: ONE LIBERTY PLAZA, 165 BROADWAY,  
City-St-Zip: NEW YORK, NY 10006

Title: CFO  
Name: DECCICO, STEVEN  
Address: ONE LIBERTY PLAZA, 165 BROADWAY,  
City-St-Zip: NEW YORK, NY 10006

Title: AS  
Name: HELLWIG, ESTHER  
Address: ONE LIBERTY PLAZA, 165 BROADWAY,  
City-St-Zip: NEW YORK, NY 10006

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ESTHER HELLWIG

AS

04/28/2010

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date