

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Feb 23, 2004 8:00 am
Secretary of State

02-23-2004 90016 044 ***150.00

DOCUMENT # F98000002630

1. Entity Name
RBC DAIN RAUSCHER INC.



Principal Place of Business
**60 S. SIXTH ST.
MINNEAPOLIS, MN 55402**

Mailing Address
**60 S. SIXTH ST.
MINNEAPOLIS, MN 55402**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

02032004

Chg-P

CR2E034 (10/03)

4. FEI Number
41-1416330

Applied For
Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CEOP
WEISER, IRVING
60 S. SIXTH ST.
MINNEAPOLIS, MN 55402** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**SEVP
GRANT, PETER
60 S. SIXTH ST.
MINNEAPOLIS, MN 55402** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CEOP
WEISER, IRVING
60 S. SIXTH ST.
MINNEAPOLIS, MN 55402** ☒ Delete

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STREET ADDRESS
CITY-ST-ZIP
☐ Delete

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STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition
**See attachment for a complete
list of current officers & Directors.**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
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☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Daniel L. Torbenson
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

(Daniel L. Torbenson)

2/17/04

612-371-7642

Date

Daytime Phone #

Attachment

798000002680
44011307

ATTACHMENT

**DIRECTORS AND OFFICERS
RBC DAIN RAUSCHER INC.**

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Irving Weiser	Chairman and Director	60 South Sixth Street Minneapolis, MN 55402
Brian F. Peters	President, Chief Executive Officer and Director	60 South Sixth Street Minneapolis, MN 55402
Lisa A. Ferris	Executive Vice President, Chief Operating Officer and Director	60 South Sixth Street Minneapolis, MN 55402
Lawrence C. Hotlz	Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
Charles E. Grose	Executive Vice Presient	60 South Sixth Street Minneapolis, MN 55402
Bentley J. Anderson	Secretary	60 South Sixth Street Minneapolis, MN 55402
Daniel L. Torbenson	Assistant Secretary	60 South Sixth Street Minneapolis, MN 55402