

2001 UNIFORM BUSINESS REPORT (UBR)**DOCUMENT # F98000002630**

1. Entity Name

DAIN RAUSCHER INCORPORATED**FILED****Apr 26, 2001 8:00 am**
Secretary of State

04-26-2001 90327 021 ***150.00

Principal Place of Business

Mailing Address

**60 S. SIXTH ST.
MINNEAPOLIS MN 55402****60 S. SIXTH ST.
MINNEAPOLIS MN 55402****B0038080**

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **41-1416330**

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ **\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____

Signature, typed or printed name of registered agent and title, if applicable.

(NOTE: Registered Agent's signature required when reinstating)

DATE _____

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) **X****FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEOP WEISER, IRVING 60 S. SIXTH ST. MINNEAPOLIS MN 55402	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFOD APPEL, JOHN C 60 S. SIXTH ST. MINNEAPOLIS MN 55402	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VD TSCHETTER, RONALD A 60 S. SIXTH ST. MINNEAPOLIS MN 55402	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SEVP GRANT, PETER 60 S. SIXTH ST. MINNEAPOLIS MN 55402	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VD SPIKER, SCOTT J 60 S. SIXTH ST. MINNEAPOLIS MN 55402	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEOP WEISER, IRVING 60 S. SIXTH ST. MINNEAPOLIS MN 55402	☐ Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
Please see attachment for the current list of officers and directors.	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: **Bentley J. Anderson**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

4/17/01**(612) 371-7634**

Daytime Phone #

CR2E034 (10/00)

ATTACHMENT
DIRECTORS AND OFFICERS
DAIN RAUSCHER INCORPORATED
(a Minnesota corporation)

F98000002630
B0038080

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Irving Weiser	Chief Executive Officer, President and Chairman of the Board	60 South Sixth Street Minneapolis, MN 55402
John C. Appel	Vice Chairman, President and Director	60 South Sixth Street Minneapolis, MN 55402
Peter Armenio	Senior Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
Peter M. Grant	Senior Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
David J. Parrin	Executive Vice President, Chief Financial Officer and Director	60 South Sixth Street Minneapolis, MN 55402
Paula H. Phillippe	Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
Carla J. Smith	Executive Vice President Secretary and Director	60 South Sixth Street Minneapolis, MN 55402
Bentley J. Anderson	Assistant Secretary	60 South Sixth Street Minneapolis, MN 55402