

2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # F98000002630

1. Entity Name

DAIN RAUSCHER INCORPORATED

FILED
Feb 13, 2000 8:00 am
Secretary of State

02-13-2000 90019 012 ***150.00

Principal Place of Business

Mailing Address

60 S. SIXTH ST.
MINNEAPOLIS MN 55402

60 S. SIXTH ST.
MINNEAPOLIS MN 55402-4400

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **41-1416330**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	CEOP	<input type="checkbox"/> Delete
NAME	WEISER, IRVING	
STREET ADDRESS	60 S. SIXTH ST.	
CITY-ST-ZIP	MINNEAPOLIS MN 55402	
TITLE	CFOD	<input type="checkbox"/> Delete
NAME	APPEL, JOHN C	
STREET ADDRESS	60 S. SIXTH ST.	
CITY-ST-ZIP	MINNEAPOLIS MN 55402	
TITLE	VD	<input type="checkbox"/> Delete
NAME	TSCHETTER, RONALD A	
STREET ADDRESS	60 S. SIXTH ST.	
CITY-ST-ZIP	MINNEAPOLIS MN 55402	
TITLE	VD	<input checked="" type="checkbox"/> Delete
NAME	CIVELLO, NELSON D	
STREET ADDRESS	60 S. SIXTH ST.	
CITY-ST-ZIP	MINNEAPOLIS MN 55402	
TITLE	VD	<input type="checkbox"/> Delete
NAME	SPIKER, SCOTT J	
STREET ADDRESS	60 S. SIXTH ST.	
CITY-ST-ZIP	MINNEAPOLIS MN 55402	
TITLE	VD	<input checked="" type="checkbox"/> Delete
NAME	WESSELS, KENNETH J	
STREET ADDRESS	60 S. SIXTH ST.	
CITY-ST-ZIP	MINNEAPOLIS MN 55402	

TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	Please see attachment for
CITY-ST-ZIP	the current list of Directors & Officers.
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address with all other like empowered.

SIGNATURE: *Bentley J. Anderson*

(Bentley J. Anderson)

1/31/2000 (612) 371-7634

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Asst. Secretary

Date

Daytime Phone #

CR2E034 (9/99)

ATTACHMENT
DA F98000002630
00017089

ATTACHMENT

**DIRECTORS AND OFFICERS
DAIN RAUSCHER INCORPORATED
(a Minnesota corporation)**

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Irving Weiser	Chief Executive Officer, President and Chairman of the Board	60 South Sixth Street Minneapolis, MN 55402
John C. Appel	Vice Chairman, President and Director	60 South Sixth Street Minneapolis, MN 55402
Peter M. Grant	Senior Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
David J. Parrin	Chief Financial Officer and Director	60 South Sixth Street Minneapolis, MN 55402
Paula H. Phillippe	Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
J. Scott Spiker	Senior Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
Ronald A. Tschetter	Senior Executive Vice President and Director	60 South Sixth Street Minneapolis, MN 55402
Carla J. Smith	Secretary	60 South Sixth Street Minneapolis, MN 55402
Bentley J. Anderson	Assistant Secretary	60 South Sixth Street Minneapolis, MN 55402