

F98000002389

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: NORTHEAST SECURITIES INC.
(Name of corporation - must include suffix)

Dear Sir or Madam:

W98-5869

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

000002458400--5
-04/27/98--01139--001
***2315.00 ***2315.00

KENNETH KALMUS
(Name of Person)

KALMUS & SIEGEL CPA'S PC
(Firm/Company)

585 STEWART AVE - SUITE 550
(Address)

GARDEN CITY NY 11530
(City/State/Zip)

Should you need to call someone concerning this matter, please call:

000002458400--5
-03/16/98--01107--002
*****78.75 *****78.75

KENNETH KALMUS at (516) 227-2525 EXT 1
(Name of Person) (Area Code & Daytime Telephone Number)

COURIER ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

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DIVISION OF CORPORATIONS

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FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham
Secretary of State

March 17, 1998

KENNETH KALMUS
KALMUS & SIEGEL CPA'S PC
585 STEWART AVE., STE. 550
GARDEN CITY, NY 11530

SUBJECT: NORTHEAST SECURITIES INC.
Ref. Number: W98000005869

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We have received your document for NORTHEAST SECURITIES INC. and your check(s) totaling \$78.75. However, the document has not been filed and is being retained in this office for the following:

The name designated in your document is not available. Therefore, the corporation must adopt an alternate name for use in the state of Florida. To adopt an alternate name the corporation must submit a corporate resolution by the board of directors adopting the alternate name for use in the state of Florida. Please note the corporate resolution must be signed by the chairman, vice chairman, or an officer of the corporation. The alternate name must contain a corporate suffix. Such suffixes include: Corporation, Corp., Incorporated, Inc., Company, and CO.

Please RETURN ALL DOCUMENTATION to the ATTENTION of the DOCUMENT SPECIALIST indicated.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$2315.00.

Enclosed please find a copy of section 607.1501 or 617.1501, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a sworn affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501 or 617.1501, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 487-6095.

Jennifer Sindt
Document Examiner

Letter Number: 498A00014249

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RESOLUTION OF BOARD OF DIRECTORS

(Please print or type)

I, the undersigned THOMAS J. MOYSAK, do hereby certify
(Name)

that this Resolution of the Board of Directors of NORTHEAST SECURITIES INC.

(Corporate Name)

a corporation duly organized and existing under the laws of the State of NEW YORK,


was duly adopted on APRIL 14, 1998.

Be it resolved, that NORTHEAST SECURITIES INC.,
(Corporate Name)

organized and existing in the State of NEW YORK, hereby adopts the name

NORTHEAST SECURITIES OF NEW YORK, INC. for use in Florida.

Dated: APRIL 14, 1998


Signature of either Chairman, Vice Chairman or any officer

THOMAS J MOYSAK, PRESIDENT
Type or print name

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. NORTHEAST SECURITIES INC.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. NEW YORK 3. 11-2997095
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 12/14/89 5. PERPETUAL
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. DECEMBER 1, 1996
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 333 EARLE OVINGTON BLVD 7TH FLOOR
MITCHEL FIELD NY 11553
(Current mailing address)

8. BROKER-DEALER IN SECURITIES
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

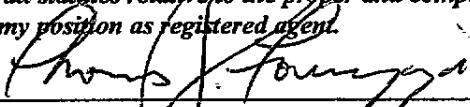
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: THOMAS FARRUGGEO

Office Address: 101 EAST KENNEDY BLVD - SUITE 1160
TAMPA, Florida, 33602
(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: STEPHEN PERRONE

Address: 55 BRIXTON RD

GARDEN CITY NY 11530

Vice Chairman: THOMAS J MOYSAK

Address: 261 MEREDITH LN

W. HEMPSTEAD NY 11552

Director: STEPHEN PERRONE

Address: 55 BRIXTON RD

GARDEN CITY NY 11530

Director: THOMAS J MOYSAK

Address: 261 MEREDITH LN

W. HEMPSTEAD NY 11552

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: THOMAS J. MOYSAK

Address: 261 MEREDITH LN

WEST HEMPSTEAD NY 11552

Vice President: MICHAEL HARTZMAN

Address: 118-17 UNION TPKE #3H

FOREST HILLS NY 11375

Secretary: MARC BENEDETTO

Address: 1682 ROLAND AVE

WANTAGH NY 11793

Treasurer: MARC BENEDETTO

Address: 1682 ROLAND AVE

WANTAGH NY 11793

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. THOMAS J. MOYSAK - VICE CHAIRMAN & PRESIDENT

(Typed or printed name and capacity of person signing application)

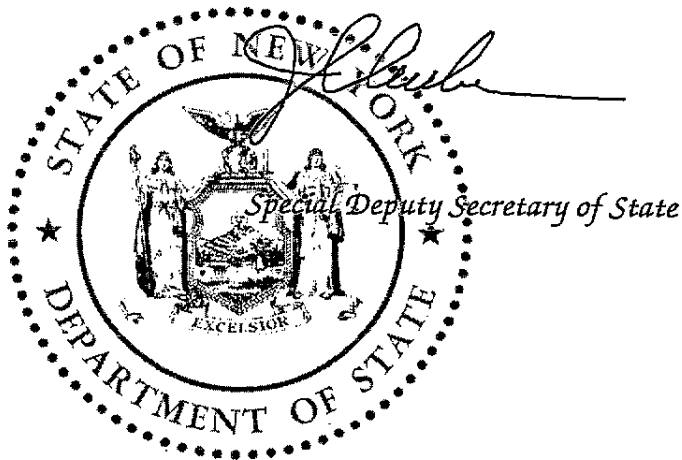
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State of New York } ss:
Department of State

I hereby certify, that the certificate of incorporation of NORTHEAST SECURITIES INC. was filed on 12/14/1989, with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 25th day of February
one thousand nine hundred and
ninety-eight.*

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