

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F98000002259

FILED
Apr 11, 2011
Secretary of State

Entity Name: NATIONAL HOLDINGS CORPORATION

Current Principal Place of Business:

875 N. MICHIGAN AVE.
#1560
CHICAGO, IL 60611 US

New Principal Place of Business:

120 BROADWAY
27TH FLOOR
NEW YORK, NY 10271 US

Current Mailing Address:

875 N. MICHIGAN AVE.
#1560
CHICAGO, IL 60611 US

New Mailing Address:

120 BROADWAY
27TH FLOOR
NEW YORK, NY 10271 US

FEI Number: 36-4128138

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: PD
Name: GOLDWASSER, MARK
Address: 120 BROADWAY 27TH FLOOR
City-St-Zip: NEW YORK, NY 10271

Title: D
Name: LEVIN, ALAN B
Address: 1200 NORTH FEDERAL HIGHWAY, SUITE 400
City-St-Zip: BOCA RATON, FL 33432

Title: D
Name: SOKOLOW, LEONARD
Address: 1200 NORTH FEDERAL HIGHWAY, SUITE 400
City-St-Zip: BOCA RATON, FL 33432

Title: GC
Name: ROTH, MARK
Address: 1001 FOURTH AVENUE, SUITE 2200
City-St-Zip: SEATTLE, WA 98154

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ALAN B LEVIN

CFO

04/11/2011

Electronic Signature of Signing Officer or Director

Date