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CT Corporation System

Requestor's Name

660 East Jefferson Street

Address

Tallahassee, FL 32301 222-1092

City

State

Zip

Phone

CORPORATION(S) NAME

600002471766--6

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*****70.00 *****70.00

9/3/30

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Quick & Reilly Fleet Securities, Inc.

☒ Profit

☐ NonProfit

☐ Amendment

☐ Merger

☒ Foreign

☐ Dissolution/Withdrawal

☐ Mark

☐ Limited Partnership

☐ Annual Report

☐ Other

☐ Reinstatement

☐ Name Registration

☐ Change of R.A.

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE
STATE OF FLORIDA:**

1. Quick & Reilly / Fleet Securities, Inc.

(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION", or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Delaware

(State or country under the law of which it is incorporated)

3. 05-0496247

(FEI number, if applicable)

4. December 9, 1997

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Upon Qualification

(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 817.155, F.S.)

7. 26 Broadway

New York, NY 10004

(Current mailing address)

8. Holding Company

(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent:

Name: C T CORPORATION SYSTEM

Office Address: c/o C T Corporation System, 1200 South Pine Island Road

Plantation, Florida, 33324

(Zip Code)

10. Registered agent acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligation of my position as registered agent.

C T CORPORATION SYSTEM

(Registered agent's signature) (Officer)

SPECIAL ASST. SECRETARY

(Type Name and Title of Officer)

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11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: See attached for list of Directors

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. * OFFICERS (Street address only - P.O. Box NOT acceptable)

President: See attached for list of Senior Officers

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

* Senior officers only

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Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Thomas C. Quick President + Chief Operating Officer

(Typed or printed name and capacity of person signing application)

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Directors

Leslie C. Quick, Jr. (Chairman)
Quick & Reilly/Fleet Securities, Inc.
230 South County Road
Palm Beach, FL 33480

Leslie C. Quick, III
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Thomas C. Quick
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Christopher C. Quick
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Pascal J. Mercurio
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Gunnar Overstrom
Fleet Financial Group, Inc.
777 Main Street
Hartford, CT 06115

Joseph A. Harcum
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Eugene M. McQuade
Fleet Financial Group, Inc.
One Federal Street
Boston, MA 02110

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Senior Officers

Leslie C. Quick, Jr. (Chief Executive Officer)
Quick & Reilly/Fleet Securities, Inc.
230 South County Road
Palm Beach, FL 33480

Thomas C. Quick (President and Chief Operating Officer)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Christopher C. Quick (Vice President)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Leslie C. Quick, III (Vice President and Treasurer)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Pascal J. Mercurio (Vice President)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Frieda Z. Lewis (Executive Vice President)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Robert Rabinoff (Chief Financial Officer)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

John Fleming (Vice President)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

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Mary E. O'Hern (Secretary)
Quick & Reilly/Fleet Securities, Inc.
26 Broadway
New York, NY 10004

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State of Delaware
Office of the Secretary of State

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "QUICK & REILLY/FLEET SECURITIES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SIXTH DAY OF MARCH, A.D. 1998.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

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A handwritten signature in cursive script, reading "Edward J. Freel".

Edward J. Freel, Secretary of State

AUTHENTICATION:

2830730 8300

DATE:

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03-26-98