

F92000000958

Florida Department of State

Division of Corporations
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To:

Division of Corporations
Fax Number : (850) 617-6380

From:

Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 222-1092
Fax Number : (850) 878-5368

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.**

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12 NOV 30 PM 12:37

DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDACOR AMND/RESTATE/CORRECT OR O/D RESIGN
RIDGE CLEARING & OUTSOURCING SOLUTIONS, INC.

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$35.00

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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11/30/2012
T. LEMIEUX

NOV 30 2012

T. LEMIEUX

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: Ridge Clearing & Outsourcing Solutions, Inc.
Name of Corporation

DOCUMENT NUMBER: F98000000958

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

MIKO CHOCHON

Name of Contact Person

Apex Clearing Corporation
Firm/Company

1700 Pacific Ave., Suite 1400
Address

Dallas, Tx 75201

City/State and Zip Code

mchochon@apexclearing.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

MIKO CHOCHON

Name of Contact Person

at (214) 765-1100

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

☒ \$33.00 Filing Fee

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Certificate of Status

☐ \$43.75 Filing Fee &
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enclosed)

☐ \$52.50 Filing Fee,
Certificate of Status &
Certified Copy
(Additional copy is
enclosed)

Mailing Address:
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

F98000000958

(Document number of corporation (if known))

1. Ridge Clearing & Outsourcing Solutions, Inc.
(Name of corporation as it appears on the records of the Department of State)

2. New York 3. 02/19/1998
(Incorporated under laws of) (Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 06/05/2012

5. Apex Clearing Corporation
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

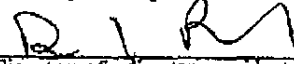
6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.


(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Danny Rosenthal
(Typed or printed name of person signing)

CEO
(Title of person signing)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of APEX CLEARING CORPORATION was filed on 12/22/1978, under the name of Q & R CLEARING CORPORATION, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment Q & R CLEARING CORPORATION, changing its name to U.S. CLEARING CORP., was filed 07/31/1989.

A Certificate of Amendment U.S. CLEARING CORP., changing its name to FLEET SECURITIES, INC., was filed 02/02/1998.

A Certificate of Amendment FLEET SECURITIES, INC., changing its name to ADP CLEARING & OUTSOURCING SERVICES, INC. , was filed 11/01/2004.

A Certificate of Amendment ADP CLEARING & OUTSOURCING SERVICES, INC. , changing its name to RIDGE CLEARING & OUTSOURCING SOLUTIONS, INC. , was filed 07/09/2007.

A Certificate of Amendment RIDGE CLEARING & OUTSOURCING SOLUTIONS, INC. , changing its name to APEX CLEARING CORPORATION, was filed 06/05/2012.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 19th day of November
two thousand and twelve.*

Daniel Shapiro
Special Deputy Secretary of State

201211200498 * SE