

FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED

02 MAY -8 AM 9:55

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT #

1. Entity Name

~~844759~~
F98000000958

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

26 Broadway
Suite, Apt. #, etc.
14th Floor

3. Mailing Address

C. Siegel
Suite, Apt. #, etc.
Legal Dept

DO NOT WRITE IN THIS SPACE

City & State
New York, NY

City & State

City & State

4. FEI Number

13-2967453

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

7. Name and Address of Current Registered Agent

Name CT Corporation

Street Address (P.O. Box Number is Not Acceptable)
1200 South Pine Island Road

City Plantation

FL

Zip Code 33324

DO NOT WRITE
IN THIS SPACE

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

January 1 - May 1 Fee is \$150.00
After May 1 Fee is \$550.00
Amended UBR is \$61.25

Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
Leslie C. Quick III
CHAIRMAN + CEO
26 Broadway - NY NY 10004

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

900005574629--2
-05/20/02--01046--025
****150.00 ****150.00

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
President
John Bahnken
26 B'way - New York, NY

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
DIRECTOR
BRIAN T. MOYNIHAN
See Attached List

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

DO NOT WRITE
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STREET ADDRESS
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NAME
STREET ADDRESS
CITY - ST - ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: Charles Siegel - Charles Siegel
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

5/6/02 (212) 747-1452
Date Daytime Phone #

CR2E034B (12/01)

FLEET SECURITIES, INC.

BOARD OF DIRECTORS

LESLIE C. QUICK III – CHAIRMAN & CHIEF EXECUTIVE OFFICER
26 BROADWAY, NEW YORK, NEW YORK 10004-1798

JOHN BAHNKEN – PRESIDENT
26 BROADWAY, NEW YORK, NEW YORK 10004-1798

BRIAN T. MOYNIHAN – DIRECTOR
FLEETBOSTON FINANCIAL CORPORATION
100 FEDERAL STREET
MAILSTOP: MADE 10026A
BOSTON, MA 02110

TIMOTHY J. CONWAY – DIRECTOR
FLEETBOSTON FINANCIAL CORPORATION
100 FEDERAL STREET
MAILSTOP: MADE 10011D
BOSTON, MA 02110

OFFICERS:
FLEET SECURITIES, INC.
26 BROADWAY – 14TH FLOOR
NEW YORK, NY 10004

LESLIE C. QUICK III – CHAIRMAN

GLENN PETERSON – SENIOR VICE PRESIDENT

**CHARLES SAX - SENIOR VICE PRESIDENT AND TREASURER &
CHIEF FINANCIAL OFFICER**

CHARLES SIEGEL – SENIOR VICE PRESIDENT & SECRETARY ***

***** SUBMIT MAIL TO ATTENTION OF THE SECRETARY
AS OF FEBRUARY, 2001**



Member New York Stock Exchange, Inc.

May 7, 2002

Florida Department of State
Divisions of Corporations
PO Box 6327
Tallahassee, FL 32314

Re: Fleet Securities, Inc. – Annual Report

Dear Sir/Madam:

As per our conversation, yesterday, I have not received from CT Corporation or the Secretary of State of Florida notification to update our annual report. Therefore, please accept the attached application.

Thank you for your assistance in this matter.

Yours truly

A handwritten signature in black ink, appearing to read 'Charlene Wilson', written over a horizontal line.

Charlene Wilson
Secretary to the General Counsel
(212) 7474-2013.