

2010 NOT-FOR-PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F97000006749

FILED
Mar 22, 2010
Secretary of State

Entity Name: FINRA REGULATION, INC.

Current Principal Place of Business:

1735 K STREET, NW
WASHINGTON, DC 20006

New Principal Place of Business:

Current Mailing Address:

9509 KEY WEST AVENUE
ATTN: FINANCE/TAX
ROCKVILLE, MD 20850 US

New Mailing Address:

FEI Number: 52-1959501 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATE CREATIONS NETWORK INC.
11380 PROSPERITY FARMS RD #221E
PALM BEACH GARDENS, FL 33410 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CCEO
Name: KETCHUM, RICHARD G
Address: 1735 K STREET, NW
City-St-Zip: WASHINGTON, DC 20006

Title: CFOT
Name: DIGANCI, TODD T
Address: 1735 K STREET, NW
City-St-Zip: WASHINGTON, DC 20006

Title: SVPS
Name: ASQUITH, MARCIA E
Address: 1735 K STREET, NW
City-St-Zip: WASHINGTON, DC 20006

Title: D
Name: BOWSHER, CHARLES A
Address: 4503 BOXWOOD ROAD
City-St-Zip: BETHESDA, MD 20816

Title: D
Name: BRENNAN, JOHN J
Address: 100 VANGUARD BOULEVARD
City-St-Zip: MALVERN, PA 19355

Title: D
Name: BROWN, ELLYN L
Address: 11055 GREENSPRING AVENUE
City-St-Zip: LUTHERVILLE, MD 21093

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: MARCIA E. ASQUITH

SVPS

03/22/2010

Electronic Signature of Signing Officer or Director

Date