

797000006667
CORPORATE ACCESS, INC.
116-B Thomasville Road, Mount Vernon Square, Tallahassee, Florida 32303
P.O. Box 37066 (32315-7066) ~ (850) 222-2666 or (800) 969-1666. Fax (850) 222-1666

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12/17/97



CUS G.S.

CERTIFIED COPY

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FILING

Foreign

1.) Equitable Securities Corporation
(CORPORATE NAME & DOCUMENT #)

2.) _____
(CORPORATE NAME & DOCUMENT #)

3.) _____
(CORPORATE NAME & DOCUMENT #)

4.) _____
(CORPORATE NAME & DOCUMENT #)

5.) _____
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6.) _____
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8.) _____
(CORPORATE NAME & DOCUMENT #)

9.) _____
(CORPORATE NAME & DOCUMENT #)

10.) _____
(CORPORATE NAME & DOCUMENT #)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 DEC 17 AM 11:57
97 DEC 17 PM 9:46
DEPARTMENT OF CORPORATION
LC
12/17

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-12/17/97-01023-003
*****78.75 *****78.75

SPECIAL INSTRUCTIONS

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACTION BUSINESS IN THE
STATE OF FLORIDA:*

1. Equitable Securities Corporation
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Tennessee 3. 62-0871146
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. July 1, 1972 5. Perpetual
(Date of Incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. Anticipated to be January 2, 1998
(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 817.155, F.S.))

7. 800 Nashville City Center, 511 Union Street
Nashville, Tennessee 37219
(Current mailing address)

8. To engage in any act or activity permitted by the laws of Tennessee for which corporations may be organized under the state laws of Florida
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)
Name: Corporation Service Company
Office Address: 1201 Hays Street
Tallahassee, Florida, 32301
(Zip Code)

10. **Registered agent's acceptance:**
Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: 
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address ONLY- P.O. Box NOT acceptable)

A. DIRECTORS (Street address only- P.O. Box NOT acceptable)

Chairman: See attached officers/directors rider

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

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B. OFFICERS (Street address only- P.O. Box NOT acceptable)

President: See attached officers/directors rider

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. *H. Larimore Smith*
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application.)

14. H. Larimore Smith, Chief Financial Officer
(Typed or printed name and capacity of person signing application)

EQUITABLE SECURITIES CORPORATION

Officers

William P. Johnston, *Chief Executive Officer*
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Katie H. Gambill, *President*
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Thomas R. Steele, *Secretary*
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Dene R. Shipp, *Chief Compliance Officer*
800 Nashville City Center
511 Union Street
Nashville, TN 37219

H. Larimore Smith, Jr., *Chief Financial and Operations Officer*
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Directors

William Howard Cammack, *Chairman of the Board of Directors*
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Roger T. Briggs, Jr.
800 Nashville City Center
511 Union Street
Nashville, TN 37219

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W. Howard Cammack, Jr.
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Katie H. Gambill
800 Nashville City Center
511 Union Street
Nashville, TN 37219

William P. Johnston
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Raymond H. Pirtle, Jr.
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Stephen S. Riven
800 Nashville City Center
511 Union Street
Nashville, TN 37219

Thomas R. Steele
800 Nashville City Center
511 Union Street
Nashville, TN 37219

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Secretary of State

Corporations Section

James K. Polk Building, Suite 1800

Nashville, Tennessee 37243-0306

ISSUANCE DATE: 12/15/1997
REQUEST NUMBER: 97349008
TELEPHONE CONTACT: (615) 741-6488

CHARTER/QUALIFICATION DATE: 06/05/1972
STATUS: ACTIVE
CORPORATE EXPIRATION DATE: PERPETUAL
CONTROL NUMBER: 0010573
JURISDICTION: TENNESSEE

TO:
WALLER LANSDEN DORTCH DAVIS
511 UNION ST

NASHVILLE, TN 37219-1760

REQUESTED BY:
WALLER LANSDEN DORTCH DAVIS
511 UNION ST

NASHVILLE, TN 37219-1760

CERTIFICATE OF EXISTENCE

I, RILEY C DARNELL, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT

"EQUITABLE SECURITIES CORPORATION"

IS A CORPORATION DULY INCORPORATED UNDER THE LAW OF THIS STATE WITH DATE OF
INCORPORATION AND DURATION AS GIVEN ABOVE;
THAT ALL FEES, TAXES, AND PENALTIES OWED TO THIS STATE WHICH AFFECT THE
EXISTENCE OF THE CORPORATION HAVE BEEN PAID;
THAT THE MOST RECENT CORPORATION ANNUAL REPORT REQUIRED HAS BEEN FILED
WITH THIS OFFICE; AND
THAT ARTICLES OF DISSOLUTION HAVE NOT BEEN FILED; AND
THAT ARTICLES OF TERMINATION OF CORPORATE EXISTENCE HAVE NOT BEEN FILED

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 DEC 17 AM 11:57

FOR: REQUEST FOR CERTIFICATE

ON DATE: 12/15/97

RECEIVED: FEES \$50.00 \$50.00
TOTAL PAYMENT RECEIVED: \$100.00

FROM:
WALLER LANSDEN ETC (511 UNION/NASHVILLE)
SUITE 2100
511 UNION STREET
NASHVILLE, TN 37219-1760

RECEIPT NUMBER: 00002216268
ACCOUNT NUMBER: 00000832



Riley C Darnell

RILEY C. DARNELL
SECRETARY OF STATE