



2008 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 15, 2008 8:00 am
Secretary of State

03-17-2008 90215 006 ***150.00
04-15-2008 90026 044 ****35.00

DOCUMENT # F97000003132					
1. Entity Name LOCKWOOD FINANCIAL SERVICES, INC. Lockwood Capital Management, Inc. (FKA Lockwood Financial Services Inc.)					
Principal Place of Business 10 VALLEY STREAM PKWY 210 MALVERN, PA 19355			Mailing Address 1 WALL ST 32ND FL NEW YORK, NY 10286		
2. Principal Place of Business - No P.O. Box #			3. Mailing Address		
Suite, Apt. #, etc.			Suite, Apt. #, etc.		
City & State			City & State		
Zip	Country	Zip	Country	4. FEI Number 23-2824427	
				5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	
6. Name and Address of Current Registered Agent THE PRENTICE-HALL CORPORATION SYSTEM, INC. 1203 HAYS STREET TALLAHASSEE, FL 32301				7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____					
FILE NOW!!! FEE IS \$150.00 After May 1, 2008 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> \$5.00 May Be Added to Fees			
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	P REINHART, LEONARD A 10 VALLEY STREAM PKWY MALVERN, PA 19355 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T MASTRO, THOMAS J 1 WALL ST NEW YORK, NY 10286 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP DETWILER, LISA 10 VALLEY STREAM PKWY MALVERA, PA 19355 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP chad cutcliff 10 valley stream pkwy Malvern, PA 19355 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP ITINTEKE, DENISE 1 WALL ST -32ND FL NEW YORK, NY 10286 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP Denise, Hinteke 1 wall street - 32nd fl New York, NY 10286 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V ZANGRE, ANTHONY 1 WALL ST - 32ND FL NEW YORK, NY 10286 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP Reza Sarmast 1 wall street - 32nd floor New York, NY 10286 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AS SISLER, HEATHER A 1 WALL STREET NEW YORK, NY 10286 <input checked="" type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	secretary Patricia Bicket 1 wall street New York, NY 10286 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE:  REZA SARMAST		3/16/08		(212) 635-6621	
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR		Date		Daytime Phone #	

60043301



03042008 Chg-P CR2E034 (12/06)

The Bank of New York
One Wall Street
New York, N.Y. 10286

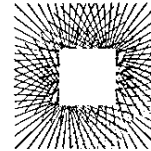
ATTACHMENT

60023307

April 11, 2008

F97000003132

Division of Corporations
P.O. Box 1500
Tallahassee, FL 32302-1500



The **BANK**
of **NEW YORK**

RE: Lockwood Capital Management, Inc. (F/K/A Lockwood Financial Services, Inc.)
FEIN: 23-2824427

Dear Sir or Madam:

Enclosed please find the following: Application by Foreign Profit Corporation to File Amendment to Application for Authorization to Transact Business in Florida, a certificate from state of incorporation showing the name change and a copy of the 2008 For Profit Corporation Annual Report for Lockwood Capital Management, Inc. (F/K/A Lockwood Financial Services, Inc.) The form indicates a fee due of \$35. A check for this amount is also enclosed.

Please acknowledge receipt of the above by stamping the enclosed copy of this letter and returning it in the envelope provided for your mailing convenience. Should you have any questions, or require additional information, I can be reached at (212) 635-6731.

Sincerely,

Danielle Heim
Assistant Treasurer
Corporate Tax Department

Enclosures

Certified Mail – 7006 2150 0001 2365 9629

ATTACHMENT

60023307

APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

(Pursuant to s. 607.1504, F.S.)

SECTION I (1-3 MUST BE COMPLETED)

F97000003132

(Document number of corporation (if known))

1. Lockwood Financial Services, Inc.

(Name of corporation as it appears on the records of the Department of State)

2. Delaware

(Incorporated under laws of)

3. _____

(Date authorized to do business in Florida)

SECTION II (4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 2/25/07

5. Lockwood Capital Management, Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)


6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.


(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Beza Sarmasti

(Typed or printed name of person signing)

Vice President

(Title of person signing)

NOT FOR PROFIT CORPORATION

ATTACHMENT
60023307

TO: Amendment Section
Division of Corporations

SUBJECT: Lockwood Financial Services Inc
(Name of Corporation)

DOCUMENT NUMBER: F97000003132

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Danielle Heim
(Name of Contact Person)

(Firm/Company)

1 Wall Street - 32nd Floor
(Address)

New York, NY 10286
(City/State and Zip Code)

For further information concerning this matter, please call:

Danielle Heim at (212) 635-6731
(Name of Contact Person) (Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:

☒ \$35.00 Filing Fee

☐ \$43.75 Filing Fee &
Certificate of Status

☐ \$43.75 Filing Fee &
Certified Copy
(Additional copy is
enclosed)

☐ \$2.50 Filing Fee,
Certificate of Status &
Certified Copy
(Additional copy is
enclosed)

Mailing Address:
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

PROFIT CORPORATION