

# 2006 FOR PROFIT CORPORATION ANNUAL REPORT

**FILED**  
**Apr 03, 2006 8:00 am**  
**Secretary of State**

04-03-2006 90366 042 \*\*\*150.00

**DOCUMENT # F97000003132**

1. Entity Name  
**LOCKWOOD FINANCIAL SERVICES, INC.**



Principal Place of Business  
**10 VALLEY STREAM PKWY  
210  
MALVERN, PA 19355**

Mailing Address  
**1 WALL ST 32ND FL  
NEW YORK, NY 10286**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

03222006

Chg-P

CR2E034 (11/05)

4. FEI Number

**23-2824427**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

## 6. Name and Address of Current Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM, INC.  
1201 HAYS STREET  
TALLAHASSEE, FL 32301**

## 7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2006 Fee will be \$550.00**

9. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00** May Be  
Added to Fees

## 10. OFFICERS AND DIRECTORS

TITLE	P	<input type="checkbox"/> Delete
NAME	LOGUE, MICHELLE	
STREET ADDRESS	10 VALLLEY STREAM PKWY	
CITY-ST-ZIP	MALVERN, PA 19355	
TITLE	CFO	<input type="checkbox"/> Delete
NAME	GIZZI, EMIL	
STREET ADDRESS	1 PERSHING PLAZA	
CITY-ST-ZIP	JERSEY CITY, NJ 07399	
TITLE	V	<input type="checkbox"/> Delete
NAME	REINHART, LEONARD A	
STREET ADDRESS	10 VALLEY STREAM PKWY	
CITY-ST-ZIP	MALVERA, PA 19355	
TITLE	VP	<input type="checkbox"/> Delete
NAME	WY, AMY	
STREET ADDRESS	1 WALL ST -32ND FL	
CITY-ST-ZIP	NEW YORK, NY 10286	
TITLE	V	<input type="checkbox"/> Delete
NAME	ZANGRE, ANTHONY	
STREET ADDRESS	1 WALL ST - 32ND FL	
CITY-ST-ZIP	NEW YORK, NY 10286	
TITLE	AS	<input type="checkbox"/> Delete
NAME	SISLER, HEATHER A	
STREET ADDRESS	1 WALL STREET	
CITY-ST-ZIP	NEW YORK, NY 10286	

## 11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

3/22/06

(212) 635-6661

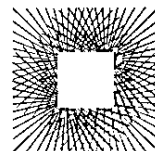
The Bank of New York  
One Wall Street  
New York, NY 10286

ATTACHMENT

60023823  
#F97600003132

March 31, 2006

Division of Corporations  
P.O. Box 1500  
Tallahassee, FL 32302-1500



*The* **BANK**  
*of* **NEW YORK**

RE: Lockwood Financial Services, Inc.  
FEIN: 23-2824427

Dear Sir or Madam:

Enclosed please find the 2006 For Profit Corporation Annual Report for Lockwood Financial Services, Inc. The report indicates a filing fee due of \$150. A check for this amount is also enclosed.

Please acknowledge receipt of the above by stamping the enclosed copy of this letter and returning it in the envelope provided for your mailing convenience. Should you have any questions, or require additional information, I can be reached at (212) 635-6661.

Sincerely,

Amy Wu  
Vice President  
Corporate Tax Department

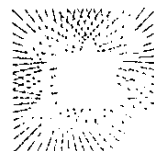
Enclosures  
DH

Certified Mail – 7004 2890 0004 5724 0909

The Bank of New York  
One Wall Street  
New York, NY 10286

60023823  
~~Attachment~~ #F97000003132  
March 31, 2006

Division of Corporations  
P.O. Box 1500  
Tallahassee, FL 32302-1500



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