

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

APPLICATION  
FOR  
REINSTATEMENT



FLORIDA DEPARTMENT OF STATE

Jim Smith

Secretary of State

DIVISION OF CORPORATIONS

FILED

02 OCT 30 PM 1:16

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DOCUMENT # F97000003132

1. Corporation Name

LOCKWOOD FINANCIAL SERVICES, INC.

Principal Place of Business

10 VALLEY STREAM PKWY  
210-  
MALVERA PA 19355

Mailing Address

10 VALLEY STREAM PKWY  
210-  
MALVERA PA 19355

If above addresses are incorrect in any way, line through incorrect information and enter correction below.

2. New Principal Office Address, If Applicable

Suite, Apt. #, etc.

10 Valley Stream Parkway  
City & State  
Malvern, PA

Zip  
19355

Country  
USA

3. New Mailing Office Address, If Applicable

Suite, Apt. #, etc.

10 Valley Stream Parkway  
City & State  
Malvern, PA

Zip  
19355

Country  
USA

4. Date Incorporated or Qualified  
To Do Business in Florida

06/16/1997

5. FEI Number

23-2824427

Applied For

Not Applicable

6.

CERTIFICATE OF STATUS DESIRED ☐

\$8.75 Additional Fee required  
for a Certificate of Status

7. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

| 1<br>Title(s) | 2<br>Name of Officers<br>and/or Directors | 3<br>Street Address of Each<br>Officer and/or Director | 4<br>City / State / Zip |
|---------------|---|--|-------------------------|
| P             | BURDETTE, JIM                             | 10 VALLEY STREAM PKWY                                  | MALVERN PA 19355        |
| COO           | DUDDIE, ROBERT J                          | 10 VALLEY STREAM PKWY STE 204                          | MALVERA PA 19355        |
| TCFO          | SCARPATI, GERARD                          | 10 VALLEY STREAM PKWY STE 204                          | MALVERA PA 19355        |
| S             | LOHR, JOHN                                | 10 VALLEY STREAM PKWY                                  | MALVERN PA 19355        |
| T             | SCARPATI, GERARD                          | 10 VALLEY STREAM PKWY STE 204                          | MALVERA PA 19355        |
| P             | LOHR, JOHN R                              | 10 VALLEY STREAM PKWY STE 204                          | MALVERA PA 19355        |

8. Name and Address of Current Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM, INC.  
1201 HAYS STREET  
TALLAHASSEE FL 32301

9. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

Suite, Apt. #, Etc.

City

State  
FL

Zip Code

10. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of Section 607.0505, F.S. or 617.0505, F.S.

Signature of  
Registered Agent

Brian Courtney  
Asst. V. Pres

REGISTERED AGENT MUST SIGN

Date

10/30/02

11. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(i), F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

10/24/02

Date

(610) 645-9150

Daytime Phone #

CR2E040 (8/02)

John Lohr  
Chief General Counsel

Lisa Detwiler  
Deputy General Counsel

Andrew Schmuhl  
Associate General Counsel

Nicole J. Kosits  
Executive Assistant

Suzanne S. Hey  
Human Resources

Ian Lohr  
Corporate Affairs

LFG, Inc.  
Lockwood Financial  
Services, Inc.  
Lockwood Advisors, Inc.  
Lockwood Insurance, Inc.  
LFG Advisors, Inc.

Electronic Managed  
Account Technologies,  
Inc.  
EMAT Securities, Inc.

10 Valley Stream Parkway  
Malvern, PA 19355

1527 Mandolin Drive  
Tallahassee, FL 32314

904-695-9150

# Office of the General Counsel

October 24, 2002

Florida Department of State  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Re: Document No. F97000003132**

Dear Sir or Madam:

Please find enclosed for your review the following items:

1. Application for Reinstatement of Lockwood Financial Services, Inc.; and
2. Check payable to Florida Department of State for \$150.00.

Lockwood Financial Services, Inc. did not receive the two prior uniform business report notices sent out by the Florida Department of State, Division of Corporations.

Please contact me at (610) 695-9150, extension 703, if you have any questions.

Sincerely,



Andrew Schmuhl, Esq.  
Associate General Counsel  
Lockwood Financial Services, Inc.

Enclosures

cc: Jerry Scarpati  
Jim Burdette  
Rich Garrett  
Lisa Detwiler, Esq.