

F970000001525

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09 DEC 21 PM 1:40
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Conning
One Financial Plaza
Hartford, CT 06103
860-299-2000
conning.com



*Jennifer Willis
Senior Paralegal
Legal & Compliance
860.299.2057
jennifer_willis@conning.com*

December 14, 2009

VIA FIRST CLASS US MAIL

Florida Department of State
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Re: Application for Amended Certificate of Authority
Conning Asset Management Company

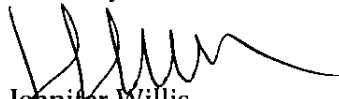
To whom it may concern:

Enclosed for filing, please find a completed Application for Amended Certificate of Authority reflecting the name change of Conning Asset Management Company to Conning, Inc. This change was filed with the Secretary of State of Missouri (state of domicile) on October 13, 2009. Also enclosed is a stamped COPY of the filing and Check No. 69117 to cover the \$35.00 filing fee.

Kindly date stamp the COPY and return to me in the enclosed SASE.

Please do not hesitate to contact me directly with any questions you may have regarding these filings.

Sincerely,



Jennifer Willis
Senior Paralegal

Enclosures

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: Conning Aset Management Company
Name of Corporation

DOCUMENT NUMBER: F97000001525

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Jennifer Willis
Name of Contact Person

Conning, Inc.
Firm/Company

One Financial Plaza
Address

Hartford, CT 06103
City/State and Zip Code

jennifer_willis@conning.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jennifer Willis at (860) 299-2057
Name of Contact Person Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

- | | | | |
|---|---|--|---|
| <input checked="checked" type="checkbox"/> \$35.00 Filing Fee | <input type="checkbox"/> \$43.75 Filing Fee & Certificate of Status | <input type="checkbox"/> \$43.75 Filing Fee & Certified Copy (Additional copy is enclosed) | <input type="checkbox"/> \$52.50 Filing Fee, Certificate of Status & Certified Copy (Additional copy is enclosed) |
|---|---|--|---|

Mailing Address:
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

F97000001525

(Document number of corporation (if known))

09 DEC 21 PM 1:41
SECRETARY OF STATE
TALLAHASSEE, FLORIDA
FILED

1. Conning Asset Management Company
(Name of corporation as it appears on the records of the Department of State)
2. Missouri 3. March 25, 1997
(Incorporated under laws of) (Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? October 13, 2009
5. Conning, Inc.
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

William M. Bourque

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

William M. Bourque

(Typed or printed name of person signing)

Secretary

(Title of person signing)

STATE OF MISSOURI



Robin Carnahan
Secretary of State

CORPORATION DIVISION
CERTIFICATE OF GOOD STANDING

I, ROBIN CARNAHAN, Secretary of the State of Missouri, do hereby certify that the records in my office and in my care and custody reveal that

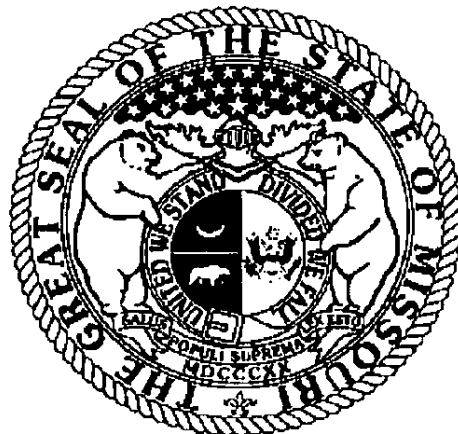
CONNING, INC.
00244827

was created under the laws of this State on the 13th day of September, 1982, and is in good standing, having fully complied with all requirements of this office.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 6th day of November, 2009

A handwritten signature of Robin Carnahan in cursive script.

Secretary of State



State of Missouri



Robin Carnahan
Secretary of State

CERTIFICATE OF AMENDMENT

WHEREAS,

Conning, Inc.
00244827

Formerly,

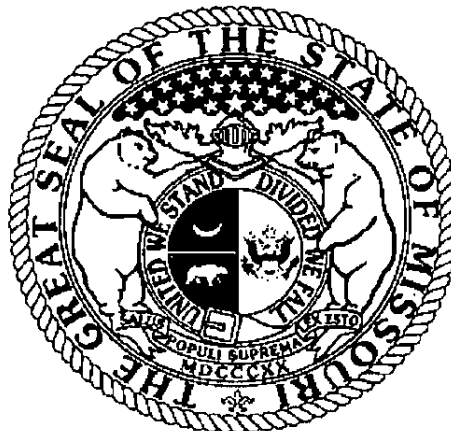
CONNING ASSET MANAGEMENT COMPANY

A corporation organized under The General and Business Corporations Law has delivered to me a Certificate of Amendment of its Articles of Incorporation and has in all respects complied with the requirements of law governing the Amendment of Articles of Incorporation under The General Business Corporation Law, and that the Articles of Incorporation of said corporation are amended in accordance therewith.

IN TESTIMONY WHEREOF, I hereunto
set my hand and cause to be affixed the
GREAT SEAL of the State of Missouri.
Done at the City of Jefferson, this
13th day of October, 2009.

Robin Carnahan

Secretary of State





00244827

CERTIFICATE OF CORPORATE RECORDS

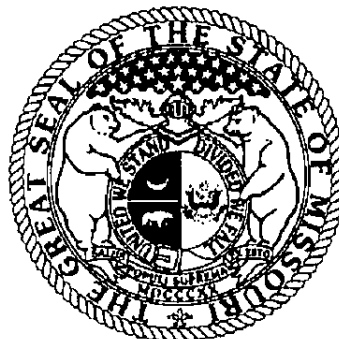
CONNING, INC.

I, ROBIN CARNAHAN, Secretary of the State of the State of Missouri and Keeper of the Great Seal thereof, do hereby certify that the annexed pages contain a full, true and complete copy of the original documents on file and of record in this office for which certification has been requested.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 15th day of October, 2009

Robin Carnahan

Secretary of State





State of Missouri

Robin Carnahan, Secretary of State

Corporations Division
PO Box 778 / 600 W. Main St., Rm. 322
Jefferson City, MO 65102

File Number:

00244827

Date Filed: 10/13/2009

Robin Carnahan

Secretary of State

Amendment of Articles of Incorporation for a General Business or Close Corporation

Pursuant to the provisions of the General and Business Corporation Law of Missouri, the undersigned Corporation certifies the following:

1. The present name of the Corporation is Conning Asset Management Company

Charter #: 00244827

The name under which it was originally organized was General American Investment Management Company

2. An amendment to the Corporation's Articles of Incorporation was adopted by the shareholders on October 8, 2009

month/day/year

3. Article Number One is amended to read as follows:

The name of the corporation is Conning, Inc.

(If more than one article is to be amended or more space is needed attach additional pages)

(Please see next page)

Name and address to return filed document:

Name: Jennifer Willis/Conning & Company

Address: One Financial Plaza

City, State, and Zip Code: Hartford, CT 06103

State of Missouri
Amend/Restate - Gen Bus 2 Page(s)



T0928702083

4. Of the 100 shares outstanding, 100 of such shares were entitled to vote on such amendment.

The number of outstanding shares of any class entitled to vote thereon as a class were as follows:

Class	Number of Outstanding Shares
_____	_____
_____	_____
_____	_____
_____	_____

5. The number of shares voted for and against the amendment was as follows:

Class	No. Voted For	No. Voted Against
<u>Common</u>	<u>100</u>	<u>0</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. If the amendment provides for an exchange, reclassification, or cancellation of issued shares, or a reduction of the number of authorized shares of any class below the number of issued shares of that class, the following is a statement of the manner in which such reduction shall be effected:

7. If the effective date of the amendment is to be a date other than the date of filing of the certificate of amendment with the Secretary of State, then the effective date, which shall be no more than 90 days following the filing date, shall be specified: _____

In Affirmation thereof, the facts stated above are true and correct:

(The undersigned understands that false statements made in this filing are subject to the penalties provided under Section 575.040, RSMo)

Salvatore Correnti Salvatore Correnti President & CEO October 9, 2009
Authorized Signature Printed Name Title Date