

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 14, 2003 8:00 am
Secretary of State

04-14-2003 90354 024 ***150.00

DOCUMENT # F96000004764

1. Entity Name
BUSINESS ALLIANCE CAPITAL CORP.



Principal Place of Business
**300 ALEXANDER PARK, 2ND FLOOR
PRINCETON NJ 08543**

Mailing Address
**300 ALEXANDER PARK, 2ND FLOOR
PRINCETON NJ 08543**

2. Principal Place of Business
214 CARNEGIE CENTER

3. Mailing Address
214 CARNEGIE CENTER

Suite, Apt. #, etc.
SUITE 302

Suite, Apt. #, etc.
SUITE 302

City & State
PRINCETON, NJ

City & State
PRINCETON, NJ

Zip
08540

Country
USA

Zip
08540

Country
USA

4. FEI Number **25-1769131**

Applied For

Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE FL 32301-2525**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State**

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD KOMPA, THEODORE 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V GOLDRICH, JEFFREY K 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V SEIBOLD, WILLIAM F 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VT CARROLL, STEPHEN J 300 ALEXANDER PARK, 2ND FLOOR PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S WIENER, ALAN 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	C KLINEMAN, KENT 1270 AVE OF THE AMERICAS NEW YORK NY 10020	<input type="checkbox"/> Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
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TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

Stephen J. Carroll
STEPHEN J. CARROLL 4/9/03

609-514-5060

CR2E034 (10/02)

Attachment

80079927
F-96000004769

BUSINESS ALLIANCE CAPITAL CORP.

ATTACHMENT - DIRECTORS

<i>D</i> Todd Goergen	One East Weaver Greenwich, CT 06831
<i>W/D</i> Jeffrey K. Goldrich	214 Carnegie Center, Suite 302 Princeton, NJ 08540
<i>D</i> Joel Kanter	8000 Towers Crescent Drive, Suite 1070 Vienna, VA 22182
<i>C</i> Kent M. Klineman	1270 Avenue of the Americas, Suite 1800 New York, NY 10020
<i>P/D</i> Theodore Kompa	214 Carnegie Center, Suite 302 Princeton, NJ 08540
<i>D</i> Theodore H. Kruttschnitt	1730 So. El Camino Real, Suite 400 San Mateo, CA 94402
<i>D</i> Joel Mallin	110 East 59th Street, Suite 3202 New York, NY 10022
<i>D</i> Robert Raucci	1107 Broadway, Suite 1300 New York, NY 10010
<i>D</i> Richard J. Uhl	81 Norfield Road Weston, CT 06883-2214