

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 18, 2002 8:00 am**  
**Secretary of State**  
 04-18-2002 90392 033 \*\*\*150.00

**DOCUMENT # F96000004764**

1. Entity Name  
**BUSINESS ALLIANCE CAPITAL CORP.**

Principal Place of Business  
**300 ALEXANDER PARK, 2ND FLOOR  
 PRINCETON NJ 08543**

Mailing Address  
**300 ALEXANDER PARK, 2ND FLOOR  
 PRINCETON NJ 08543**



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number  
**25-1769131**

Applied For  
 Not Applicable

Zip Country

Zip Country

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CORPORATION SERVICE COMPANY  
 1201 HAYS STREET  
 TALLAHASSEE FL 32301-2525**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☒

**FILE NOW!!! FEE IS \$150.00  
 After May 1, 2002 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD KOMPA, THEODORE 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V GOLDRICH, JEFFREY K 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V SEIBOLD, WILLIAM F 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VT CARROLL, STEPHEN J 300 ALEXANDER PARK, 2ND FLOOR PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S WIENER, ALAN 300 ALEXANDER PARK PRINCETON NJ 08543	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	C KLINEMAN, KENT 1270 AVE OF THE AMERICAS NEW YORK NY 10020	<input type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE \_\_\_\_\_  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

STEPHEN J. CARROLL

Date

Daytime Phone #

4/18/02 609-514-5000

CR2E034 (9/01)

Attachment

BUSINESS ALLIANCE CAPITAL CORP.

# F96000004765/034118

ATTACHMENT - DIRECTORS

D Todd Goergan	c/o The Ropart Group 1 East Weaver Greenwich, CT 06831
D Joel Kanter	8000 Towers Crescent Drive Suite 1070 Vienna, VA 22182
D Kent M. Klineman	1270 Avenue of the Americas Suite 1800 New York, NY 10020
PD Theodore Kompa	300 Alexander Park Princeton, NJ 08543
D Theodore H. Kruttschnitt	1730 So. El Camino Real Suite 400 San Mateo, CA 94402
D Joel Mallin	110 East 59th Street, Suite 3202 New York, NY 10022
D Robert Raucci	505 Park Avenue, 12th Floor New York, NY 10022
D Richard J. Uhl	685 Third Avenue, 31 <sup>st</sup> Floor New York, NY 10169
VD JEFFREY GOLDRICH	300 ALEXANDER PARK PRINCETON, NJ 08543