

# 2001 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Jul 24, 2001 8:00 am**  
**Secretary of State**

07-24-2001 90019 024 \*\*\*550.00

**DOCUMENT # F96000004764**

1. Entity Name

**BUSINESS ALLIANCE CAPITAL CORP.**

Principal Place of Business

**300 ALEXANDER PARK, 2ND FLOOR  
 PRINCETON NJ 08543**

Mailing Address

**300 ALEXANDER PARK, 2ND FLOOR  
 PRINCETON NJ 08543**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **25-1769131**

Applied For  
 Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CORPORATION SERVICE COMPANY  
 1201 HAYS STREET  
 TALLAHASSEE FL 32301-2525**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

**FILE NOW!!! FEE IS \$550.00  
 After September 12, 2001 Fee will be \$750.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PD** ☐ Delete  
 NAME **KOMPA, THEODORE**  
 STREET ADDRESS **300 ALEXANDER PARK**  
 CITY-ST-ZIP **PRINCETON NJ 08543**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **V** ☐ Delete  
 NAME **GOLDRICH, JEFFREY K**  
 STREET ADDRESS **300 ALEXANDER PARK**  
 CITY-ST-ZIP **PRINCETON NJ 08543**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **V** ☐ Delete  
 NAME **SEIBOLD, WILLIAM F**  
 STREET ADDRESS **300 ALEXANDER PARK**  
 CITY-ST-ZIP **PRINCETON NJ 08543**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **VTS** ☐ Delete  
 NAME **CARROLL, STEPHEN J**  
 STREET ADDRESS **300 ALEXANDER PARK, 2ND FLOOR**  
 CITY-ST-ZIP **PRINCETON NJ 08543**

TITLE **VT** ☒ Change ☐ Addition  
 NAME **CARROLL, STEPHEN J.**  
 STREET ADDRESS **300 ALEXANDER PARK**  
 CITY-ST-ZIP **PRINCETON, NJ 08543**

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **S** ☐ Change ☒ Addition  
 NAME **ALAN WIENER**  
 STREET ADDRESS **300 ALEXANDER PARK**  
 CITY-ST-ZIP **PRINCETON, NJ 08543**

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **C** ☐ Change ☒ Addition  
 NAME **KENT KLINEMAN**  
 STREET ADDRESS **1270 AVENUE OF THE AMERICAS**  
 CITY-ST-ZIP **NEW YORK, NY 10020**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

**SIGNATURE**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**STEPHEN J. CARROLL 7/20/01 609-514-5700**

Date

Daytime Phone #

0131982 AT

CR2E034 (5/01)

Attachment # F96000004764

BUSINESS ALLIANCE CAPITAL CORP.

CDD74087

ATTACHMENT - DIRECTORS

D Todd Goergan 2 Fifth Avenue, Apt. 4J  
New York, NY 10011

D Burton W. Kanter Two North LaSalle Street  
Chicago, IL 60602

C Kent M. Klineman 1270 Avenue of the  
Americas  
Suite 1800  
New York, NY 10020

P/D Theodore Kompa 300 Alexander Park  
Princeton, NJ 08543

D Theodore H. Kruttschnitt 1730 So. El Camino Real  
Suite 400  
San Mateo, CA 94402

D Joel Mallin 110 East 59th Street, Suite  
3202  
New York, NY 10022

D Robert Raucci 505 Park Avenue, 12th Floor  
New York, NY 10022

D Richard J. Uhl 685 Third Avenue, 31<sup>st</sup> Floor  
New York, NY 10169