2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 10, 2002 8:00 am
Secretary of State
05-10-2002 90014 037 ***150.00

DOCUMENT # F96000003973

1. Entity Name
BEAR, STEARNS N.Y., INC.

Principal Place of Business	Mailing Address
245 PARK AVENUE NEW YORK NY 10167	115 S. JEFFERSON RD. WHIPPANY NJ 07981

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	3. Mailing Address
383 Madison Avenue	
Suite, Apt. #, etc.	Suite, Apt. #, etc.
City & State: New York, NY	City & State
Zip: 10179 Country	Zip Country

4. FEI Number: 13-3261713 ☐ Applied For ☐ Not Applicable

5. Certificate of Status Desired ☐ **$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent	7. Name and Address of New Registered Agent
C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION FL 33324	Name Street Address (P.O. Box Number is Not Acceptable) City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ DATE
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☒

FILE NOW!!! FEE IS $150.00
After May 1, 2002 Fee will be $550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ **$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS			12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	PD GEISMAR, BRUCE E 245 PARK AVE. NEW YORK NY 10167	☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☒ Change ☐ Addition 383 Madison Avenue New York, NY 10179	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	TD MOLINARO, SAMUEL L JR 245 PARK AVENUE NEW YORK NY 10167	☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☒ Change ☐ Addition 383 Madison Avenue New York, NY 10179	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	VPD HERSCH, RONALD M 245 PARK AVE. NEW YORK NY 10167	☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☒ Change ☐ Addition 383 Madison Avenue New York, NY 10179	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	VPD WACHTEL, ELI 245 PARK AVE. NEW YORK NY 10167	☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☒ Change ☐ Addition 383 Madison Avenue New York, Ny 10179	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	S ARONSON, RAYMOND L ONE METROTECH CTR. NORTH BROOKLYN NY 11201	☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP		☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	EVP Mark E. Lehman 383 Madison Avenue New York, NY 10179	☐ Change ☒ Addition

CR2E034 (9/01)

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Raymond L. Aronson 04/30/02 (212) 272-2000
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #