

F96000003829



PREMIER HALL  
LEGAL & FINANCIAL SERVICES

1201 HAYS STREET  
TALLAHASSEE, FL 32304-0007

800-342-8086

ACCOUNT NO. : 072100000032

REFERENCE : 998032 4330090

AUTHORIZATION :

COST LIMIT : \$ 70.00

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JUN 24 PM 2:07  
DIVISION OF CORPORATION

Patricia Pignatelli

ORDER DATE : June 24, 1996

ORDER TIME : 11:33 AM

ORDER NO. : 998032

CUSTOMER NO: 4330090

CUSTOMER: Kathleen Johnson, Legal Asst  
Republic New York Corporation  
452 Fifth Avenue  
Tower 12  
New York, NY 10018

600001873806

W96-13386

H37544

FOREIGN FILINGS

NAME: REPUBLIC FINANCIAL SERVICES  
CORPORATION

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

\_\_\_\_ CERTIFIED COPY  
X \_\_\_\_\_ PLAIN STAMPED COPY  
\_\_\_\_ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: GLS

8/7/29  
96 JUL 24 PM 3:55  
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SECRETARY OF STATE  
DIVISION OF CORPORATIONS



FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham  
Secretary of State

June 24, 1996

*use date*

CSC NETWORKS  
ATTN:GLS

SUBJECT: REPUBLIC FINANCIAL SERVICES CORPORATION  
Ref. Number: W96000013386

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DIVISION OF CORPORATIONS  
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We have received your document(s) in this office, however, the document is being returned for the following:

The name designated in your document is not available. Therefore, the corporation must adopt an alternate name for use in the state of Florida. To adopt an alternate name the corporation must submit a corporate resolution by the board of directors adopting the alternate name for use in the state of Florida. Please note the corporate resolution must be signed by the chairman, vice chairman, or an officer of the corporation. The alternate name must contain a corporate suffix. Such suffixes include: Corporation, Corp., Incorporated, Inc., Company, and CO.

Please RETURN ALL DOCUMENTATION to the ATTENTION of the DOCUMENT SPECIALIST indicated.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (904) 487-6093.

Freta Lott  
Corporate Specialist Supervisor

Letter Number: 396A00031272

*resubmit*

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# RESOLUTION OF BOARD OF DIRECTORS

(Please print or type)

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I, the undersigned William F. Rosenblum, Jr., do hereby certify  
(Name)

that this Resolution of the Board of Directors of Republic Financial Services Corporation

(Corporate Name)

a corporation duly organized and existing under the laws of the State of New York,

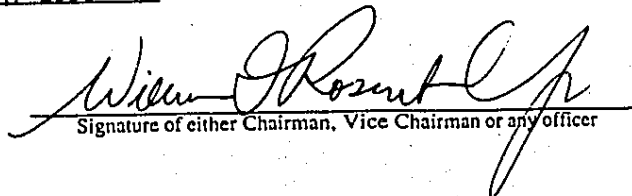
was duly adopted on July 2, 19 96.

Be it resolved, that Republic Financial Services Corporation,  
(Corporate Name)

organized and existing in the State of New York, hereby adopts the name

Republic Investment Services Corp. for use in Florida.

Dated: July 24, 1996

  
Signature of either Chairman, Vice Chairman or any officer

William F. Rosenblum, Jr., Secretary

Type or print name

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION  
TO TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:**

1. Republic Financial Services Corporation  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. New York  
(State or country under the law of which it is incorporated)
3. N/A  
(FBI number, if applicable)
4. February 22, 1996  
(Date of Incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. Upon qualification  
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))
7. Republic Financial Services Corporation  
452 Fifth Ave., NY, NY 10018  
(Current mailing address)
8. Broker - Dealer  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box acceptable)

Name: The Prentice Hall Corporation System, Inc.

Office Address: 1201 Hays St.

Tallahassee, Florida, 32301  
(Zip Code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Judith S. Blaneett, Asst. Sec.  
(Registered agent's signature) Judith S. Blaneett

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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NOT

12. Names and addresses of officers and/or directors: (Street address **ONLY**- P. O. Box **NOT** acceptable) See Attachment

**A. DIRECTORS (Street address only- P. O. Box NOT acceptable)**

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS (Street address only- P. O. Box NOT acceptable)**

President: \_\_\_\_\_

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Catherine M Shipley  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Catherine Shipley, Vice President  
(Typed or printed name and capacity of person signing application)

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REPUBLIC FINANCIAL SERVICES CORPORATION  
Directors & Officers

Directors

Joseph DePaolo  
David Bagatelle  
James R. LoGatto  
Nancy Moses  
John D. Pirraglia

Officers

James R. LoGatto  
John D. Pirraglia  
Joseph DePaolo  
David S. Bagatelle  
Nancy Moses  
Matthew Rozzi  
Catherine M. Shipley  
William F. Rosenblum, Jr.  
Lisa Weiner

Chairman of the Board  
President, CEO & COO  
Vice Chairman  
Chief Financial Officer & Treasurer  
First Vice President  
Vice President  
Vice President & Chief Compliance Officer  
Secretary  
Assistant Secretary

The address of each of the above named directors and officers is 452 Fifth Avenue, New York, NY 10018.

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**State of New York** | ss:  
**Department of State**

I hereby certify, that the certificate of incorporation of REPUBLIC FINANCIAL SERVICES CORPORATION was filed on 02/22/1995, with perpetual duration, and that I have made a diligent examination of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, I find no such certificate, order or record, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

\*\*\*

Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 13th day of June  
one thousand nine hundred and  
ninety-six.



*Elizabeth F. Treadwell*

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