

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Mar 27, 2002 8:00 am
Secretary of State

03-27-2002 90004 044 ***150.00

UNIT 41 A1

DOCUMENT # F96000002814

1. Entity Name

ALTA HEALTH & LIFE INSURANCE COMPANY

Principal Place of Business

**ONE CENTENNIAL AVENUE
PISCATAWAY NJ 08855-1326**

Mailing Address

**8515 E ORCHARD ROAD
GREENWOOD VILLAGE CO 80111
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

59-1031071

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**INSURANCE COMMISSIONER
THE CAPITOL
TALLAHASSEE FL 32399**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD WHITE, JAMES A ONE CENTENNIAL AVENUE PISCATAWAY NJ 08855-1326 ☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D GRAYE, M T G 8515 EAST ORCHARD ROAD GREENWOOD VILLAGE CO 80111 ☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MCCALLUM, W T 8515 EAST ORCHARD ROAD GREENWOOD VILLAGE CO 80111 ☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MOTZ, J D 8505 EAST ORCHARD ROAD GREENWOOD VILLAGE CO 80111 ☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ROSENBAUM, M 8505 EAST ORCHARD ROAD GREENWOOD VILLAGE CO 80111 ☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VPS LENNOX, D C 8525 EAST ORCHARD ROAD GREENWOOD VILLAGE CO 80111 ☐ Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☒ Addition (Please see attached list of all officers and directors.)
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Richard G. Schultz

3/14/02

Date

(303) 737-4649

Daytime Phone #

CR2E034 (9/01)

ATTACHMENT Doc#: F96000002814/011357



Alta Health & Life Insurance Company
P.O. Box 230
Denver, CO 80201-0230
800-521-5124
www.ahlic.com

James J. Kim
Legal Assistant
Telephone: (303) 737-6722
Facsimile: (303) 737-3827

March 14, 2002

Division of Corporations
Uniform Business Report Filings
P.O. Box 1500
Tallahassee, FL 32302-1500

Re: 2002 Uniform Business Report for Alta Health & Life Insurance Company

Dear Sir/Madam:

Enclosed please find the 2002 Uniform Business Report for Alta Health & Life Insurance Company. Also enclosed, please find a check in the amount of \$150.00 to cover the filing fee.

If you have any questions or concerns regarding this matter, please do not hesitate to contact me at (303) 737-6722.

Sincerely,

A handwritten signature in cursive script, appearing to read "J. Kim".

James J. Kim
Legal Assistant

Enclosures

Enclosed please find the 2002 Uniform Business Report for Alta Health & Life Insurance Company. Also enclosed, please find a check in the amount of \$150.00 to cover the filing fee.

Very truly yours,

cc: 2002 Uniform Business Report for Alta Health & Life Insurance Company

ATTACHMENT Doc#: F96000002814/
1611357

Alta Health & Life Insurance Company

DIRECTORS:

M.T.G. Graye
W.T. McCallum
S.H. Miller
M.R. Quigley
M. Rosenbaum

OFFICERS:

W.T. McCallum – Chairman
M.T.G. Graye – Executive Vice President, Chief Financial Officer
D.C. Lennox – Senior Vice President, General Counsel and Secretary
S.H. Miller – Senior Vice President, Employee Benefits Sales
M. Rosenbaum – Senior Vice President, Employee Benefits
W.K. Adam – Vice President, Counsel and Associate Secretary
D.C. Aspinwall – Vice President, Counsel and Chief Compliance Officer
D.L. Anderson – Vice President, Employee Benefits
B.A. Byrne – Vice President, Counsel and Associate Secretary
~~B. Cornish – Vice President, Employee Benefits Operations~~
G.R. Derback – Vice President and Treasurer
M.R. Hackl – Vice President, 401(k) Operations
J.L. McCallen – Vice President and Actuary
R.G. Schultz – Vice President, Counsel and Associate Secretary
D. Stefanson – Vice President, Employee Benefits Products
P.D. Tilley – Vice President, Asset/Liability Management
J.G. Johnson – Assistant Vice President, Taxation
M.J. Pavlik – Manager, Financial Reporting

All addresses are 8515 East Orchard Road, Greenwood Village, CO 80111