

F960000002022

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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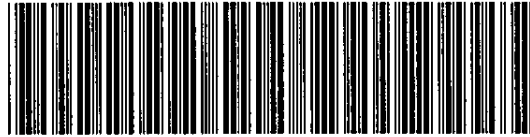
(Business Entity Name)

(Document Number)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Name chg

APR 22 2016

I ALBRITTON

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: ARBITRAGE PARTNERS, INC.
Name of Corporation

DOCUMENT NUMBER: F96000002022

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

ROBERT K AUFHAUSER

Name of Contact Person

AUFHAUSER SECURITIES, INC.

Firm/Company

112 WEST 56TH STREET

Address

NEW YORK, NY 10019

City/State and Zip Code

ADMIN@AUFSEC.COM

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

R K AUFHAUSER

Name of Contact Person

at (212) 246-0205
Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:



\$35.00 Filing Fee



\$43.75 Filing Fee &
Certificate of Status



\$43.75 Filing Fee &
Certified Copy
(Additional copy is
enclosed)



\$52.50 Filing Fee,
Certificate of Status &
Certified Copy
(Additional copy is
enclosed)

Mailing Address:

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301



FLORIDA DEPARTMENT OF STATE
Division of Corporations

March 11, 2016

ROBERT K. AUFHAUSER
ARBITRAGE PARTNERS INC
112 WEST 56TH STREET
NEW YORK, NY 10019

SUBJECT: ARBITRAGE PARTNERS, INC.
Ref. Number: F96000002022

We have received your document for ARBITRAGE PARTNERS, INC. and your check(s) totaling \$35.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate or a document of similar import evidencing the amendment must be submitted with the application. The certificate should be authenticated as of a date not more than 90 days prior to delivery of the application to the Department of State by the Secretary of State or other official having custody of the records in the jurisdiction under the laws of which it is incorporated, formed, or organized. A translation of the certificate, under oath or affirmation of the translator, must be attached to a certificate which is not in English.

✓ Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6050.

Irene Albritton
Regulatory Specialist II

Letter Number: 416A00005088

RECEIVED
16 APR 22 AM 11:20
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

F96000002022

(Document number of corporation (if known))

1. ARBITRAGE PARTNERS, INC.

(Name of corporation as it appears on the records of the Department of State)

2. NEW YORK

(Incorporated under laws of)

3. 4/18/1996

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 4/21/2000

5. AUFHAUSER SECURITIES, INC.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

R K Aufhauser

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

R K AUFHAUSER

(Typed or printed name of person signing)

PRESIDENT

(Title of person signing)

FILED
2016 APR 22 PM 1:21
TALLAHASSEE, FLORIDA
SECRETARY OF STATE

State of New York
Department of State } ss:

I hereby certify, that the Certificate of Incorporation of AUFHAUSER SECURITIES, INC. was filed on 06/15/1994, under the name of ARBITRAGE PARTNERS, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment ARBITRAGE PARTNERS, INC., changing its name to AUFHAUSER SECURITIES, INC., was filed 04/21/2000.



*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 28th day of March two
thousand and sixteen.*

Anthony Giardina

Executive Deputy Secretary of State

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through March 1, 2016.

Selected Entity Name: AUFHAUSER SECURITIES, INC.

Selected Entity Status Information

Current Entity Name: AUFHAUSER SECURITIES, INC.

DOS ID #: 1829364

Initial DOS Filing Date: JUNE 15, 1994

County: NEW YORK

Jurisdiction: NEW YORK

Entity Type: DOMESTIC BUSINESS CORPORATION

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

R.K. AUFHAUSER
112 WEST 56TH ST
NEW YORK, NEW YORK, 10019

Chief Executive Officer

R.K. AUFHAUSER
112 WEST 56TH ST
NEW YORK, NEW YORK, 10019

Principal Executive Office

R.K. AUFHAUSER
112 WEST 56TH ST
NEW YORK, NEW YORK, 10019

Registered Agent

NONE

This office does not record information regarding the names and addresses of officers, shareholders or directors of nonprofessional corporations except the chief executive officer, if provided, which would be listed above. Professional corporations must include the name(s) and address(es) of the initial officers, directors, and shareholders in the initial certificate of incorporation, however this information is not recorded and only available by viewing the certificate.

***Stock Information**

# of Shares	Type of Stock	\$ Value per Share
200	No Par Value	

*Stock information is applicable to domestic business corporations.

Name History

Filing Date	Name Type	Entity Name
APR 21, 2000	Actual	AUFHAUSER SECURITIES, INC.
JUN 15, 1994	Actual	ARBITRAGE PARTNERS, INC.

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

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