

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

FILED
Jun 10 1997 8:00am
Secretary of State

DOCUMENT # F96000001438 (8)

1. Corporation Name
JB OXFORD & COMPANY, INC.

Principal Place of Business

ONE BRICKELL SQ.
801 BRICKELL AVE.
MIAMI FL 33131

Mailing Address

ONE BRICKELL SQ.
801 BRICKELL AVE.
MIAMI FL 33131-2951



2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip

Country

24

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip

Country

29

30

3. Date Incorporated or Qualified

03/20/1996

3a. Date of Last Report

4. FEI Number

87-039955B

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes

☐

Yes

☐

No

9. Name and Address of Current Registered Agent

WHITE, RICHARD
ONE BRICKELL SQ.
801 BRICKELL AVE.
MIAMI FL 33131

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE CP
NAME RUBENSTEIN, STEPHEN
STREET ADDRESS 9665 WILSHIRE BLVD, 3RD FL.
CITY-ST-ZIP BEVERLY HILLS CA 90212

TITLE CV
NAME REILLY, RAYMOND
STREET ADDRESS 9665 WILSHIRE BLVD, 3RD FL.
CITY-ST-ZIP BEVERLY HILLS CA 90212

TITLE DT
NAME CHIODO, MICHAEL
STREET ADDRESS 9665 WILSHIRE BLVD., 3RD FL.
CITY-ST-ZIP BEVERLY HILLS CA 90212

TITLE DV
NAME LAUBENSTEIN, AL
STREET ADDRESS 9665 WILSHIRE BLVD., 3RD FL.
CITY-ST-ZIP BEVERLY HILLS CA 90212

TITLE S
NAME RILEY, ROBERT
STREET ADDRESS 9665 WILSHIRE BLVD., 3RD FL.
CITY-ST-ZIP BEVERLY HILLS CA 90212

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP

2.1 TITLE
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP

3.1 TITLE
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP

4.1 TITLE
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP

5.1 TITLE
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

6.1 TITLE
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP

JB OXFORD AND CO.

AP#

Vendor #

Date

Debit COA

Credit COA 25200101

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(j), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE [Signature]

6/10/97 (311) 322-2117

CP2E034 (9/96)