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(904) 871-1941

03/14/05 - 01/04/05
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Office Use Only

CORPORATION NAME(S) & DOCUMENT NUMBER(S), (If known):

1. Lombard Institutional Brokerage, Inc
(Corporation Name) (Document #)

2. _____
(Corporation Name) (Document #)

3. _____
(Corporation Name) (Document #)

4. _____
(Corporation Name) (Document #)

- ☒ Walk in ☒ Pick up time Call when ready ☒ Certified Copy
☐ Mail out ☐ Will wait ☐ Photocopy ☐ Certificate of Status

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SECRETARY OF STATE
DIVISION OF CORPORATIONS
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NEW FILINGS	
<input checked="" type="checkbox"/>	Profit
<input type="checkbox"/>	NonProfit
<input type="checkbox"/>	Limited Liability
<input type="checkbox"/>	Domestication
<input type="checkbox"/>	Other

AMENDMENTS	
<input type="checkbox"/>	Amendment
<input type="checkbox"/>	Resignation of R.A., Officer/ Director
<input type="checkbox"/>	Change of Registered Agent
<input type="checkbox"/>	Dissolution/Withdrawal
<input type="checkbox"/>	Merger

OTHER FILINGS	
<input type="checkbox"/>	Annual Report
<input type="checkbox"/>	Fictitious Name
<input type="checkbox"/>	Name Reservation

OTHER FILINGS	
<input checked="" type="checkbox"/>	Foreign
<input type="checkbox"/>	Limited Partnership
<input type="checkbox"/>	Reinstatement
<input type="checkbox"/>	Trademark
<input type="checkbox"/>	Other

05 MAR 14 11:25:22

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE
FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO
TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. The name of the corporation shall be:
LOMBARD INSTITUTIONAL BROKERAGE, INC.
2. This corporation is incorporated under the laws of:
The State of California
3. The Federal Employers Identification Number is:
94-3170569
4. This corporation was incorporated in the state of
California on: October 13, 1992.
5. The duration of this corporation will be: Perpetual.
6. The date this corporation will transact business will be:
Subsequent to the approval of this Application and the
approval of the Application for a Branch Office by the Department
of Banking and Finance.
7. The current mailing address for the corporation shall be:
Lombard Institutional Brokerage, Inc.
595 Market Street, Ste 780
San Francisco, CA 94105
8. The purpose of this corporation in the home state to be
carried out in the state of Florida shall be:
The operation of a Branch Office to sell securities
on a discount basis.
9. The name and street address of the Registered Agent is:
Lawrence Alan Rausch
1900 Summit Tower Blvd.
Ste 730
Orlando, Florida 32810

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10. The names and addresses of the officers and /or directors are:

Vincent Eric Roach
395 Market Street, Ste 780
San Francisco, CA 94103

11.

J. M. Road
(Signature)

VINCENT ERIC NOACH
Print Name

(Signature)

Print Name _____

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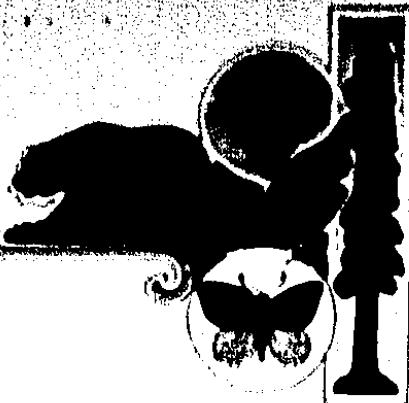
- 12. Registered Agent Acceptance:**

Having been named as registered agent and to accept service of process for the above state corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Signature)

LAWRENCE ALAN RAUSCH

13. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.



State of California

SECRETARY OF STATE



CERTIFICATE OF STATUS DOMESTIC CORPORATION

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That on the 13TH day of OCTOBER, 1996

LOMBARD INSTITUTIONAL BROKERAGE, INC.

became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

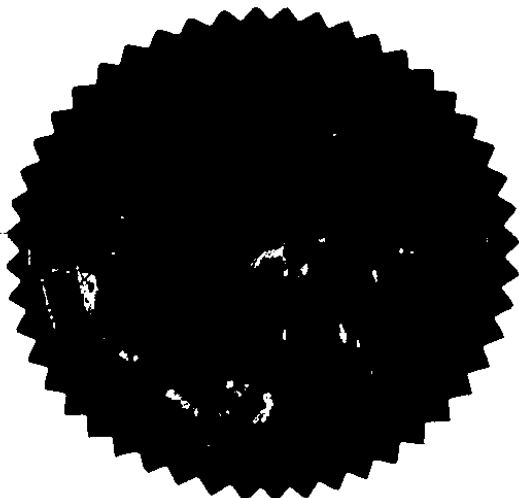
That no record exists in this office of a certificate of dissolution of said corporation nor of a court order declaring dissolution thereof, nor of a merger or consolidation which terminated its existence; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

IN WITNESS WHEREOF I execute this
certificate and affix the Great Seal
of the State of California this
8TH day of MARCH 1996



Bill Jones
BILL JONES
Secretary of State